



# Annual Performance Report

Fiscal Years 2007 - 2009

## **Appendix D**

Summary Findings of Program Evaluations



**Homeland  
Security**

Pursuant to OMB Circular A-136, this year's Finance and Performance reporting is following an Office of Management and Budget (OMB) Pilot Program for Alternative Approaches to Performance and Accountability Reporting. The pilot is an alternative to the consolidated Performance and Accountability Report (PAR) published in previous years. DHS anticipates this approach will improve its performance reporting by presenting performance information in a more accessible and informative format, and that performance information will be more complete given additional time to collect actual year-end performance data. Additionally, the pilot approach will ensure performance results and plans are integrated with the President's Budget.

The pilot consists of three separate reports:

- **Annual Financial Report (AFR).** The [AFR](#) consists of the Secretary's Message, Management's Discussion and Analysis, Financial Statements and Notes, the Audit Report, Major Management Challenges, and other required information. The AFR was published on 15 November 2007, and is available at the DHS website.
- **Annual Performance Report (APR).** The [APR](#) contains more detailed performance information as required by the Government Performance and Results Act (GPRA). The APR reports fiscal year (FY) 2007 results and presents the DHS Performance Plan for FY 2009. The APR is transmitted with the Congressional Budget Justification (CBJ) on 4 February 2008 and is posted on the DHS website.
- **Highlights Report.** The [Highlights](#) report summarizes key performance and financial information and is available at the DHS website just prior to publication of the President's Budget.

The Department of Homeland Security's FY 2007 Annual Performance Report is available at the following website:

<http://www.dhs.gov/xabout>

For more information or to obtain additional copies, contact:

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## Introduction

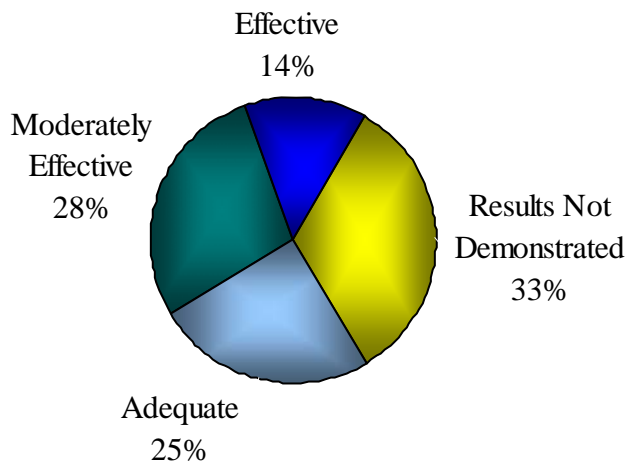
The Office of Management and Budget (OMB) uses the Program Assessment Rating Tool (PART) to evaluate programs across a set of performance-related criteria, including program design, strategic planning, program management, and results. Programs are assessed and assigned numeric scores, which correspond to qualitative ratings of Effective, Moderately Effective, Adequate, Ineffective, and Results Not Demonstrated. PART scores and findings are used to inform the budget process and drive improvements.

Since the DHS's inception, 64 programs have been reviewed by OMB using the PART process. In 2007, DHS and OMB collaborated on eight PART reviews in preparation for the President's FY 2009 budget submission to Congress. The programs reviewed in FY 2007 are shaded in grey in the table in the next section. As of November 15, 2007, nine programs were rated "Effective," eighteen "Moderately Effective," sixteen "Adequate", and twenty-one "Results Not Demonstrated".

For more information on the DHS PART program evaluations, please visit <http://www.whitehouse.gov/omb/expectmore/agency/024.html>.

## PART Ratings Overview

Figure 1. PART Ratings of DHS Programs.



## PART Assessment Ratings

Program Name	Ratings as of Nov. 15, 2007	Year Evaluated
Border Security and Control between Ports of Entry	Moderately Effective	2007
Coast Guard: Fisheries Enforcement	Moderately Effective	2003
Coast Guard: Marine Environmental Protection	Moderately Effective	2003
Coast Guard: Migrant Interdiction Program	Moderately Effective	2004
Coast Guard: Defense Readiness	Adequate	2006
Coast Guard: Drug Interdiction	Adequate	2007
Coast Guard: Marine Safety	Adequate	2005
Coast Guard: Polar Icebreaking Program	Results not Demonstrated	2004
Coast Guard: Ports, Waterways, and Coastal Security	Moderately Effective	2006
Coast Guard: Search and Rescue	Adequate	2007
Coast Guard: Waterways Management	Moderately Effective	2007
Customs and Border Protection: Automation Modernization	Effective	2006
Customs and Border Protection: Border Security Inspections and Trade Facilitation	Effective	2005
Customs and Border Protection: CBP Air and Marine	Moderately Effective	2006
Federal Emergency Management Agency: Mitigation Programs	Moderately Effective	2005
Federal Emergency Management Agency: Grants and Training Office Assistance to Firefighters Grant Program	Effective	2007
Federal Emergency Management Agency: Grants and Training Office National Exercise Program	Effective	2005
Federal Emergency Management Agency: Grants and Training Office State Homeland Security Grants	Results not Demonstrated	2004
Federal Emergency Management Agency: Grants and Training Office Technical Assistance Program	Adequate	2005
Federal Emergency Management Agency: Grants and Training Office Training Program	Adequate	2005
Federal Emergency Management Agency: Targeted Infrastructure Protection Grants	Results not Demonstrated	2006
Federal Emergency Management Agency: U.S. Fire Administration	Adequate	2006
Federal Emergency Management Agency: Urban Area Security Initiative Grants	Results not Demonstrated	2006
Federal Emergency Management Agency: Disaster Recovery	Adequate	2004
Federal Emergency Management Agency: Disaster Response	Adequate	2004
Federal Emergency Management Agency: National Security	Moderately Effective	2006

<b>Program Name</b>	<b>Ratings as of Nov. 15, 2007</b>	<b>Year Evaluated</b>
Federal Emergency Management Agency: Readiness	Moderately Effective	2006
Federal Law Enforcement Training Center	Adequate	2005
Federal Protective Service	Adequate	2007
Homeland Security Operations Center	Adequate	2005
Homeland Security Operations and Analysis: Classified Programs	Adequate	2006
Immigration Services	Moderately Effective	2005
Immigration and Customs Enforcement: Automation Modernization Program	Results not Demonstrated	2005
Immigration and Customs Enforcement: Detention and Removal	Moderately Effective	2003
Immigration and Customs Enforcement: Office of Investigations	Adequate	2004
National Protection & Programs Division: Cyber Security	Results not Demonstrated	2007
National Protection & Programs Division: Infrastructure Protection	Results not Demonstrated	2006
National Protection & Programs Division: National Communications Service	Moderately Effective	2005
National Protection & Programs Division: US-VISIT Exit and Entry System for Visitors	Moderately Effective	2006
Office of Health Affairs: Medical Coordination	Results not Demonstrated	2006
Science and Technology: Rapid Prototyping of Countermeasures	Moderately Effective	2005
Science and Technology: Biological Countermeasures	Effective	2004
Science and Technology: Chemical and Explosive Countermeasures	Results not Demonstrated	2006
Science and Technology: Command, Control and Interoperability	Results not Demonstrated	2006
Science and Technology: Emerging Homeland Security Threat Detection	Moderately Effective	2005
Science and Technology: Homeland Security University Fellowships	Moderately Effective	2005
Science and Technology: SAFETY ACT	Results not Demonstrated	2006
Science and Technology: Standards Development for Homeland Security Technology	Adequate	2004
Science and Technology: Threat and Vulnerability, Testing and Assessment	Results not Demonstrated	2004
Secret Service: Domestic Protectees	Effective	2005
Secret Service: Financial and Infrastructure Investigations	Effective	2006

<b>Program Name</b>	<b>Ratings as of Nov. 15, 2007</b>	<b>Year Evaluated</b>
Secret Service: Foreign Protectees and Foreign Missions	Effective	2004
Secret Service: Protective Intelligence	Effective	2004
Transportation Security Administration: Air Cargo Security Programs	Results not Demonstrated	2005
Transportation Security Administration: Aviation Regulation and Enforcement	Results not Demonstrated	2005
Transportation Security Administration: Baggage Screening Technology	Results not Demonstrated	2004
Transportation Security Administration: Federal Air Marshal Service	Results not Demonstrated	2003
Transportation Security Administration: Flight Crew Training	Results not Demonstrated	2005
Transportation Security Administration: Passenger Screening Technology	Results not Demonstrated	2004
Transportation Security Administration: Screener Training	Adequate	2004
Transportation Security Administration: Surface Transportation Security	Results not Demonstrated	2006
Transportation Security Administration: Transportation Security Officer Workforce	Moderately Effective	2007
Transportation Security Administration: Transportation Security Support	Results not Demonstrated	2006
Transportation Security Administration: Transportation Vetting and Credentialing	Results not Demonstrated	2006





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