DHS SCIENCE AND TECHNOLOGY

Master Question List for Yersinia pestis (Plague)

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Yersinia pestis – Master Question List

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Foreword

The following Master Question List (MQL) was developed by the Department of Homeland Security Science and Technology Directorate (DHS S&T) to present the current state of available information to government decision makers regarding *Yersinia pestis*, the causative agent for Plague. This MQL quickly summarizes what is known and what additional information is needed to address fundamental questions such as, "What is the infectious dose?" and "How long does the virus persist in the environment?" The information provided is a succinct summary to allow structured and scientifically guided discussions across the federal government without burdening them with the need to review scientific reports, and to prevent duplication of efforts by highlighting and coordinating research.

Situation Overview

Yersinia pestis is the bacterium that causes plague. It is a gram-negative, non-motile bacillus or coccobacillus that does not produce spores. Y. pestis is related to Yersinia enterocolitica and Yersinia pseudotuberculosis, which are the only other two human-pathogenic Yersinia species.¹ Y. *pestis* is typically transmitted by the bite of an infected flea from rodent hosts. which typically causes bubonic and/or septicemic plague.² Airborne transmission is a less common route and may result in pneumonic plague.² Y. *pestis* is responsible for the "Black Death" which is estimated to have killed 30% to 40% of the European population between 1,347 and 1,351 BC.³ The bacteria are endemic to Asia, Africa, and the Americas,⁴ with Madagascar and the Democratic Republic of Congo accounting for ~80% and ~15% of cases, respectively.⁵ Human cases in the U.S. typically are associated with local rodent populations and occur in remote and rural areas in two regions: the Four Corners area in the Southwest, and at the intersection of California, Nevada, and Oregon.⁶ In the U.S. seven human cases are reported each year on average (range: 1-17 cases per year). ⁶ Worldwide, thousands of cases are reported each year.⁷ Actors have considered Y. pestis as a biological warfare agent. Notably, in 1995, Larry Wayne Harris, a microbiologist from Ohio, was arrested for obtaining Y. pestis.⁸ The Y. pestis was recovered and Harris was convicted of fraud.⁸ The

Japanese army released plague-infected fleas into Chinese populations in the 1930s.⁹ Both the United States and the Former Soviet Union studied *Y. pestis* as part of their historical biological weapons programs.¹⁰

The cutoff date for information gathering related to this document was 03/14/2024.

Major Findings by Topic Area			
Торіс	Overview of Current Knowledge		
BACKGROUND	• <i>Y. pestis</i> is the causative agent of plague. It is responsible for the "Black Death" which is estimated to have killed 30% to 40% of the European population between 1,347 and 1,351 BC.		
	• It is a bacterium found in the environment in Asia, Africa, and the Americas. A low number of cases occur in the U.S. each year.		
	• <i>Y. pestis</i> is mostly spread by the bite of a flea that has fed on an infected rodent.		
	• Several classes of Food and Drug Administration (FDA)- approved antimicrobial drugs are available for treatment and vaccine candidates are under investigation. Vaccines have been available for use in the past.		
	• Y. <i>pestis</i> has been studied by some countries in historical biowarfare programs as an aerosol weapon to cause pneumonic plague.		
INFECTIOUS DOSE	The flea bite or subcutaneous infectious dose, typically causing bubonic plague, is unknown.		
	• The aerosol infectious dose, typically causing pneumonic plague, of <i>Y. pestis</i> is estimated to be between 100 and 15,000 organisms in humans.		
TRANSMISSIBILITY	• The most common mode of transmission is from the bite of an infected flea.		
	• Person-to-person spread occurs via aerosol transmission when an infected person coughs and through exposure to infected fluids and tissues.		
HOST RANGE	• <i>Y. pestis</i> is a zoonotic disease affecting over 200 mammalian species.		
	• The most important hosts for <i>Y. pestis</i> ecology are rodents, lagomorphs, and fleas.		
	• Domestic cats and dogs have spread <i>Y. pestis</i> to people.		
	• Non-human primates and rodents are typically used in vaccine challenge studies.		
	• The incubation period for plague is one to eight days following infection in humans but can vary.		
INCUBATION PERIOD	• The incubation period can be one to eight days for the bubonic form, one to four days for the septicemic form, one to six days for the pneumonic form, and (in rare cases) two to four days for the ingestion form.		
CLINICAL PRESENTATION	Bubonic plague is the most common presentation and typically presents with enlarged lymph nodes, fever, malaise, chills, chest pain, and headache.		

Major Findings by Topic Area		
Торіс	Overview of Current Knowledge	
	• Pneumonic plague presents with rapid respiratory distress, fever, cough with bloody sputum, and chest pain.	
	• Septicemic plague can present with more vague symptoms of low blood pressure, septic shock, abdominal pain, gastrointestinal symptoms, fever, nausea, vomiting, or diarrhea. It has also been associated with cardiovascular, neurological, and renal complications.	
	• <i>Y. pestis</i> infection can also present as meningitis.	
	• Pharyngitis can result if <i>Y. pestis</i> is ingested.	
CLINICAL DIAGNOSIS	The gold standard for diagnosis is microbial isolation of the organism from patient samples.	
	 Direct fluorescent antibody, polymerase chain reaction (PCR), serological, and rapid diagnostic tests are available. 	
	• <i>Y. pestis</i> can be identified microscopically by examination of Gram, Wright, Giemsa, or Wayson's-stained smears of patient samples.	
FATALITY RATE	• The World Health Organization (WHO) reported that between 2013 and 2018 the reported case fatality rate was 17.5%.	
	• The Centers for Disease Control and Prevention (CDC) estimates the fatality rate to be 11% for all forms.	
	• Without treatment, pneumonic plague is usually fatal. With treatment the recovery rate can vary from 65% to 83%.	
	• The fatality rate for bubonic plague is 50% to 66% without antimicrobial treatment. With antimicrobial treatment, the fatality rate decreases to 13%.	
	• Septicemic plague is estimated to have an 18% to 55% fatality rate with treatment. Untreated septicemic plague is almost always fatal.	

Major Findings by Topic Area		
Торіс	Overview of Current Knowledge	
	Early diagnosis and treatment is key to successful recovery.	
	• FDA-approved antimicrobials for effective treatment are: streptomycin (aminoglycoside class), ciprofloxacin (fluoroquinolone class), levofloxacin (fluoroquinolone class), moxifloxacin (fluoroquinolone class), and doxycycline and other tetracyclines (tetracycline class).	
MEDICAL	Gentamicin is a common alternative to streptomycin.	
TREATMENT	• Dual therapy with two different classes of antibiotics is recommended in cases of pneumonic plague and septicemic plague, as well as in case of suspected bioterror event.	
	• Some aminoglycoside classes and all classes of chloramphenicol and trimethoprim-sulfamethoxazole are not FDA approved but they have been shown to be effective options in animal models.	
VACCINES	• A formalin killed vaccine was available in the U.S. until 1999. Use was discontinued due to adverse reactions and lack of protection.	
	• A live-attenuated vaccine, EV76, is available in foreign countries. It is not used in the U.S. due to high reactogenicity.	
	• There are several protein subunit, DNA, recombinant, and live- attenuated vaccine candidates in development.	
ENVIRONMENTAL STABILITY	• <i>Y. pestis</i> persistence in the environment and soil is not well understood. It was shown to persist for 40 weeks in one study.	
	• The stability of <i>Y. pestis</i> may be related to temperature and/or relative humidity.	
	• Y. <i>pestis</i> growth rate at 25°C was 4-folds faster than the growth rate at 10°C.	
	• At 30°C and 52% relative humidity, <i>Y. pestis</i> survived for less than 30 minutes but survived for six hours at 22°C and 52% relative humidity.	
DECONTAMINATION	• U.S. Environmental Protection Agency's (EPA's) list of registered disinfectants, List A, contains disinfectants that will destroy or irreversibly inactivate <i>Y. pestis</i> .	
	• Other disinfectants have been investigated in the literature but are not necessarily registered disinfectants.	

Major Findings by Topic Area			
Торіс	Overview of Current Knowledge		
PERSONAL PROTECTIVE EQUIPMENT (PPE)	• The WHO guidelines for people disposing of deceased plague victims is to wear masks, protective clothing, boots, and thick rubber gloves. Antibiotic post-exposure prophylaxis (PEP) is suggested for those in direct contact. An update to the guidelines has been proposed to make the minimum protective equipment a gown, goggles, an N95 mask, and gloves.		
	• The American Society for Microbiology suggests microbiology laboratories use biosafety level (BSL) 2 practices including a biosafety cabinet as the minimum requirement for safe handling of diagnostic samples.		
	• <i>Y. pestis</i> is a biosafety level 3 organism and should be studied under biosafety level 3 practices.		
GENOMICS	• The three biovars of <i>Y. pestis</i> are grouped according to the ability to reduce nitrate and use glycerol. Antiqua biovars are positive for both abilities. Mediaevalis biovars do not reduce nitrate but are positive for glycerol utilization. Orientalis reduce nitrate but do not utilize glycerol.		
	• Frequent genome rearrangement events cause continuous evolution of <i>Y. pestis.</i>		
	• Antimicrobial-resistant strains have been documented in Madagascar, Mongolia, and China. A multi-drug resistant strain was isolated from a patient in Madagascar. Self-transmissible plasmids and ribosomal protein S12 (rpsl) gene mutations were shown to be responsible for the antimicrobial resistance in some cases.		

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TECHNICAL INFORMATION REGARDING YERSINIA PESTIS (PLAGUE)

Infectious Dose How much agent will make a healthy individual ill? What do we know?

There are two typical routes of transmission: airborne and flea bites. Contact with contaminated fluid and tissue can also result in infection. The infectious dose differs between the different routes. Typically, the median infectious dose (ID_{50}) and median lethal dose (LD_{50}) of *Y. pestis* in humans is measured through enumerations in colony forming units (CFUs) of the bacteria.¹¹ For ID_{50} and LD_{50} , lower values indicate greater infectivity or greater lethality, as less bacteria or CFUs are needed for infecting or causing mortality in an individual. When ID_{50} and LD_{50} are similar values, this typically indicates a near 100% fatality rate.

The inhalation infectious dose (pneumonic plague) is estimated to be between 100 and 15,000 CFU in humans.^{8, 12} The estimated infectious dose is impacted by many variables while controlled laboratory studies may present results that are more precise than would be expected in a real-world scenario.

- For example, in one study the ID₅₀ of aerosolized *Y. pestis* CO92 strain in cynomolgus macaques is estimated to be 66 CFUs.¹³
- In another study estimating the LD₅₀of aerosolized *Y. pestis* CO92 strain in cynomolgus macaques, the LD₅₀ was found to be similar at 24 CFU.¹¹

The human infectious dose for flea bites (bubonic and septicemic plague) is unknown.

 In one study the average bacteria load was determined to be 3.16x10⁵ CFU per flea one day after feeding on blood containing 1x10¹⁰ CFU/mL of Y. pestis.¹⁴

The infectious dose for ingestion has been measured in rodents.

• ID₅₀ values ranging from 1.1x10⁵ to 1.8x10⁷ CFU were determined from rodents infected with two different strains of *Y. pestis* (Vietnam and Brazil) via the intragastric route.¹⁵

What do we need to know?

- What is the infectious dose in humans by flea bites or subcutaneous routes?
- Does infectious dose vary by subpopulation (age, immunocompromised) or strain?
- What is the correlation of animal models to human infection and disease? (Additional studies are needed to develop improved animal models.)

Transmissibility How does it spread from one host to another? How easily is it spread? What do we know?

In the U.S., transmission to humans primarily occurs from the bite of a flea that has fed on an infected rodent.^{2, 16} It has been proposed that as many as 11,000 to 24,000 CFU are regurgitated by the flea into the mammalian host.¹⁷

- The most commonly identified vector for *Y. pestis* is fleas, but it has been isolated from lice and ticks also.¹⁶ Transmission to mammals was demonstrated from lice to rabbits¹⁸ but not demonstrated with experimentally infected ticks, however.^{16, 19}
- Temperature affects the dynamics of *Y. pestis* flea-borne transmission²⁰⁻²¹; recent evidence suggests temperature could change the efficiency of flea transmission in regions that host endemic plague foci.²⁰ In one study lower temperatures, 23°C vs 30°C, were associated with increased transmission from fleas to mice. Fleas held at 10°C were able to transmit *Y. pestis*, but the bacterial load and survival of fleas was decreased.²¹

- Domestic cats are very susceptible to *Y. pestis* and have directly infected humans (18 cases) with 28% of patients presenting with primary pneumonic plague.^{2, 16}
- Transmission of pneumonic plague from a dog to four people occurred in the U.S. in 2014.²²
- The basic reproductive number (R₀, the average number of secondary cases per case) for the 2015 bubonic plague outbreak in Zambia was estimated to be 1.75.²³
- Analysis of historical records from London, United Kingdom suggest that the R₀ and epidemic spread increased between the notable Black Death of 1348 and the Great Plague of 1665, with R₀ values estimated to range from 1.06 to 1.69, respectively.²⁴

Person-to-Person transmission:

- Humans and other animals usually get infected by the bite from an infected flea but contact with contaminated fluid or tissues can result in bubonic or septicemic plague and the inhalation of infectious droplets can result in pneumonic plague.²
- While primary bubonic and septicemic plague are not contagious,¹⁰ the spread of bacteria within the host during bubonic and septicemic cases can lead to secondary pneumonic plague in 5% to15% of cases.^{9, 25-26}
- Pneumonic plague is contagious.²⁶⁻²⁷ Person-to-person spread can occur from inhaling aerosolized bacteria when a person with pneumonic plague coughs.²⁸ The CDC has recommended standard and droplet precautions for 48 hours after the start of antibiotics for infection control.²⁸
- A review of surveillance data from 1900 to 2009 estimated R₀ for pneumonic plague in the U.S. to be 1.18.²⁶ Assessment of data from outbreaks in several countries from 1907 to 1997 resulted in an estimated R₀ of 1.3.²⁹
- The basic reproductive number for an outbreak of 14 people with pneumonic plague in Madagascar in 2015 was found to be 1.44.³⁰ Traditional burial practices and poor healthcare systems were implicated in promoting the outbreak.³⁰ The R₀ for a 2017 outbreak in Madagascar has been estimated from 1.12 to 1.73.³¹

What do we need to know?

- Are there any correlates between the development of secondary pneumonic plague from either bubonic or septicemic plague?
- How many organisms are shed by a coughing person?

Host Range How many species does it infect? Can it transfer from species to species? What do we know?

The most important hosts for disease spreading are enzootic rodents. Although some are moderately resistant to infection, their low mortality rate is linked to transmission because they survive as reservoirs which infect more fleas and continue the cycle.¹⁶

Over 200 rodents, lagomorphs and mammalian species have been reported to be infected with Y. *pestis*.^{16, 32} Specifically, voles,¹⁶ gerbils,¹⁶ marmots,¹⁶ tarabagans,¹⁶ prairie dogs,^{10, 16} squirrels,^{10, 16} rats,^{10, 16} rabbits, ¹⁶ goats, ¹⁶ camels, ¹⁶ dogs,²² cats,¹⁶ mice,¹⁶ cynomolgus macaques,¹¹ rhesus macaques,¹¹ African green monkeys,^{11, 33} and humans¹⁶ can show signs of infection. 80 subspecies of fleas have been connected to

plague epidemiology.^{32, 34}

- Climate change, deforestation, and urbanization are all environmental factors that can induce a change in the flea and rodent populations leading to an emergence of new vectors, reservoirs, and new genotypes for *Y. pestis.*³⁵⁻³⁶
- Researchers built a machine learning detection model that determined the combination of a changing climate and rodent communities establishing at higher elevations increases the likelihood of creating a *Y. pestis* reservoir in these areas. In some areas, the likeliness of this event increased up to 40%.³⁷
- Cynomolgus macaques and African green monkeys have been shown to be good animal models for pneumonic plague in humans as they show similar symptoms and disease pathology.^{11, 33}
- Domestic dogs and cats develop disease and have been shown to directly transmit Y. *pestis* to humans.^{2, 22}

What do we need to know?

- Which animal hosts (including new animal reservoirs) are capable of harboring disease and what is the ease of transmission?
- How rapidly will climate change alter the endemic range? Further research is needed to fully understand the impact of ongoing climate changes on plague ecology.³⁵⁻³⁷

Incubation Period

How long after infection do symptoms appear? Are people infectious during this time? What do we know?

The typical incubation period is one to eight days following infection.⁷

Bubonic: one to eight days^{10, 16, 38-39} Septicemic: likely days, but is not well defined⁷

• A minority of patients bitten by a flea develop primary septicemic plague without the development of bubos.¹⁰ Secondary septicemic and pneumonic plague can develop from bubonic plague.^{7, 10}

Pneumonic: one to six days^{7, 16, 40-41}

• Patients with pneumonic plague typically experience symptoms within one to three days of infection.^{16, 26, 42} During the initial infection of the respiratory system, usually around 24 hours, patients are noninfectious.⁴³ However, once the disease progresses, patients with the pneumonic form can spread *Y. pestis* via respiratory transmission.²⁵

Ingestion (rare): two to four days⁴⁴⁻⁴⁵

• Two individuals consumed contaminated meat and reported symptoms one to four days after exposure.⁴⁶

The incubation period reported from a 2013 outbreak of pneumonic plague that was a streptomycin resistant *Y. pestis* strain in Madagascar ranged from one to seven days.⁴⁷

What do we need to know?

- What is the degree of infectivity of the host after the onset of pneumonic plague?
- How long does the progression to secondary pneumonic plague typically take?
- What precautions are taken to prevent the spread of pneumonic plague in healthcare?

Clinical Presentation What are the signs and symptoms of the infected person? What do we know?

Most cases of *Y. pestis* are divided into three clinical presentations – bubonic plague, pneumonic plague, and septicemic plague. While bubonic plague presents with characteristic buboes, primary septicemic and pneumonic plague can develop without the presence of buboes and can be fatal without early antibiotic treatment. Uncommonly, plague can present itself as meningitis or pharyngitis (ingestion route of infection).^{44, 48-49}

Bubonic plague is the most common clinical presentation of plague which is categorized by the painful swelling of lymph nodes (bubos).²⁶

- Following an infected flea bite of infection through a skin lesion, Y. pestis manifests and reproduces in lymph nodes and can progress into secondary pneumonic, or septicemic plague.^{11, 25-26, 42, 50-51}
- Bubos range from 1 to 10 cm as the bacteria multiply and cause necrosis in the lymph node.²⁵ Symptoms of bubonic plague include fever, malaise, chills, chest pain, and headache.^{18, 31, 37, 47-49}

Primary pneumonic plague can occur following inhalation of *Y. pestis* from an infected animal or human and leads to rapid acute respiratory distress.²⁶

- Initial infection of the respiratory system can present with rapid pulse and fever, and bacteria are generally undetectable in the sputum for the first 24 hours.⁴³
- The disease rapidly progresses following bacterial replication, resulting in severe edema in the lungs, necrotizing pneumonia, and an infectious cough.⁴³ Clinical presentation includes fever, chest pain, dyspnea, and coughing with bloody sputum.^{25, 42-43} If treatment is not initiated within 24 hours of symptom onset, pneumonic plague is almost always fatal.^{7, 11, 26, 50, 52}

Septicemic plague, occurring in a minority of cases, is classified by a *Y. pestis* infection in the bloodstream without noticeable lymphadenopathic, pneumonic, or other localizing signs.^{25-26, 42}

Clinical presentation includes low blood pressure, septic shock, abdominal pain, gastrointestinal symptoms, fever, nausea, vomiting, or diarrhea.^{17, 18, 37, 43} Septicemic plague is associated with cardiovascular, neurological, and renal complications.⁴² Diagnosis is often delayed in primary septicemic plague as the clinical presentation can be non-specific. This results in a higher fatality rate than bubonic plague.²⁶

What do we need to know?

- What steps are taken to distinguish or rule out septicemic or pneumonic plague from similarly presenting diseases?
- Given *Y. pestis* is difficult to detect in the first 24 hours of infection, what diagnostic tools can be used to identify the disease upon initial infection?

Clinical Diagnosis Are there tools to diagnose infected individuals? When during infection are they effective? What do we know?

Diagnosis is made by isolating the organism from blood, pus from a bubo, or sputum.⁵³ It is recommended that diagnostic testing be performed at a minimum in BSL-2 laboratories using

a biosafety cabinet.⁵⁴ In the U.S., local and state health departments should be notified immediately if plague is suspected.⁵⁵

- Among the biological diagnostic tests, microbial isolation of *Y. pestis* remains the gold standard. Using selective agar supplemented with cefsulodin–irgasan–novobiocin (CIN) favors the isolation of the bacterium in polymicrobial samples such as sputum.⁵⁶ After two- or three-day incubation at 28 °C, suspected colonies on CIN agar may be identified by biochemical tests, PCR, and *Y. pestis*-specific phage lysis.⁵⁶
- In cases where *Y. pestis* is not culturable, lymphoid, spleen, lung, liver tissue, or bone marrow samples may yield evidence of *Y. pestis* by direct detection methods such as direct fluorescent antibody or PCR.⁵⁵ Conventional PCR targeting the pla, caf1, inv, and yopM genes reduces the delay of diagnostics to three to four hours, while real-time PCR can be performed in only two hours.⁵⁶
- Sputum must be tested by multiplex real-time PCR, targeting additional genes due to the presence of additional microbial flora.⁵⁶⁻⁵⁷
- *Y. pestis* may be identified microscopically by examination of Gram, Wright, Giemsa, or Wayson's-stained smears of peripheral blood, lymph node specimen, or sputum.⁵⁵ (*Y. pestis* is gram-negative.)
- Automated biochemical identification systems and matrix-assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF MS) identification systems may misidentify the cultured organism.⁵⁵
- If cultures are negative and plague is still suspected, serologic testing is possible to confirm the diagnosis. One serum specimen should be taken as early in the illness as possible, followed by a convalescent sample four to six weeks after disease onset.⁵⁵

Pneumonic Plague

- Diagnosis of pneumonic plague relies on the quality of the sample. Pneumonic plague is a lower respiratory tract infection, so deep respiratory secretions are required for biological tests, not saliva or spit.⁵⁶ When using the rapid diagnostic test,⁵⁸ false-negative and false-positive results may be observed with sticky expectorations, due to absence or incomplete sample migration along the dipstick. False-negative results may also occur with saliva.^{56, 59-60}
- Clinically pneumonic plague-suspected patients must be treated without considering the results from rapid diagnostic testing⁵⁸ based on the detection of the F1 antigen of Y. *pestis.*⁵⁶

Bubonic Plague

 In contrast to pneumonic plague, rapid diagnostic testing⁵⁸ is a practical method that can be implemented providing results within 15 minutes and has been validated for diagnosis of bubonic plague.^{56, 61}

Antimicrobial Resistant Strains

Microbial susceptibility testing including disk diffusion, E test (test strip impregnated with antimicrobials is added to agar plate)⁶², and broth microdilution are used to determine if isolates are susceptible or resistant to one or more antimicrobial drugs.⁶³ The broth microdilution method is the Clinical and Laboratory Standards Institute referenced method.⁶³ An isolate is considered resistant to an antimicrobial if it grows in the presence

of that antimicrobial and susceptible if it does not.

• Susceptibility testing can take several days to complete⁶³ and may not be known in time to start or alter effective treatment.⁴⁷

What do we need to know?

- How quickly does a patient become PCR positive after exposure?
- In resource-limited settings, how can tests detect without requiring isolation or amplification be improved?
- How can the antimicrobial resistance testing timeline be shortened?

Fatality Rate How likely is it that some individuals will die from *Y. pestis*? What do we know?

In the pre-antibiotic era (1900 through 1941), mortality among those infected with *Y. pestis* in the U.S. was 66%-93% for all forms of plague.²⁶ Antibiotics greatly reduced mortality, and by 1990-2010 the overall mortality had decreased to 11%.⁷

- Plague is estimated to have killed 30% to 40% of the European population from the 14th century to the 19th century, including the Black Death period from 1347 to 1351 BC.³
- Between 2013 and 2018, 2,886 cases and 504 deaths were reported to the WHO (a fatality rate of 17.5%).^{3, 27} 95% of cases derive from sub-Saharan Africa, mainly in Madagascar and the Ituri region of the Democratic Republic of Congo.^{3, 27}
- From 1998 to 2016, the case fatality rate was 18% in Madagascar for confirmed and probable cases (15% for the bubonic form and 25% for the pneumonic form).⁶⁴
- It was reported that the case fatality rate for all forms of plague in humans in Yunnan Province, China, was 18.39% between 1950 and 2020.⁶⁵

The fatality rate of bubonic plague is 50% to 66% without antimicrobial treatment. With antimicrobial treatment, the fatality rate decreases to 13%.^{11, 26, 42, 66} Septicemic plague can have a higher fatality rate than bubonic and is estimated to be 18% to 55% with treatment.⁶⁷⁻⁶⁸

- The fatality rate is estimated to be higher for septicemic plague than bubonic plague due to delayed diagnosis and treatment.^{7, 67} Untreated septicemic plague is almost always fatal; however, the overall rate is not well defined because it is not typically diagnosed outside of resourced areas where treatment is available.^{26, 67}
- In Madagascar the mortality rate of bubonic plague can be as high as 40% to 70% without treatment.⁶⁹

Pneumonic plague is of particular concern as it is fatal if antibiotic treatment is delayed.^{40, 53, 70} The time-course of the disease from the start of symptoms to death when untreated is two to nine days.⁷¹

Pneumonic plague, if not diagnosed and treated early, is fatal. ⁵³ However, recovery rates are high if detected and treated in time.^{26, 53} A review study published that 82% of patients treated with tetracycline, 83% of patients treated with aminoglycosides, and 82% of patients treated with fluoroquinolones, either alone or in combination with other antimicrobials, survived.^{26, 68} Patients who received chloramphenicol and sulfonamides, alone or in combination with other antimicrobials, survived.^{26, 68} Patients who received in 78% and 65% of cases,

respectively.68

The time to death reported from a case study of two individuals who consumed contaminated meat was between three and nine days.⁴⁶

Two people consumed raw contaminated meat over the course of four days. The first
individual died three to six days after exposure at which point the second individual
began treatment with ciprofloxacin. The second individual died three days later.⁴⁶

Antimicrobial Resistant Strains

- Antimicrobial susceptibility testing may not be known when treatment needs to be initiated and may lead to treatment with ineffective antimicrobial drugs and potentially preventable loss of life.⁴⁷
- The probable index case for the 2013 Madagascar outbreak of a streptomycin resistant strain reported a time between symptom onset and death of seven days.⁴⁷

What do we need to know?

- Are there demographic subpopulations which are more likely to have fatal outcomes, and if so, which groups are they?
- Do previous or existing medical issues increase the likelihood of Y. pestis mortality?

Medical Treatment Are there effective treatments? What do we know?

The current FDA-approved antimicrobials for effective treatment include: streptomycin (aminoglycoside class), ciprofloxacin (fluoroquinolone class), levofloxacin (fluoroquinolone class), moxifloxacin (fluoroquinolone class), and doxycycline and other tetracyclines (tetracycline class).^{26, 72}

- Treatment should commence during the first 24 hours after the onset of symptoms for best results.⁷³⁻⁷⁴ The effective dose, duration, and administration route for adults, children, and pregnant women with pneumonic or bubonic plague for each antimicrobial is posted by the CDC.⁵⁵ The same treatments that are recommended for adults are recommended for elderly and immunocompromised populations.²⁶
- Dual therapy with two different classes of antibiotics is recommended in cases of pneumonic and septicemic plague.²⁶
- Streptomycin has historically been the most effective, but availability may be limited. Gentamicin is a common alternative aminoglycoside.⁷⁵⁻⁷⁷
- Ciprofloxacin and levofloxacin are acceptable substitutes if aminoglycosides are unavailable.^{1, 26, 33, 76, 78}
- Doxycycline is as effective as gentamicin, can be given orally, lacks nephrotoxicity, and there is no need to monitor blood concentrations.⁶⁷ Oral doxycycline and ciprofloxacin would be most practical in a mass outbreak setting.^{25, 79}
- If a bioterrorism event with *Y. pestis* was suspected, health care providers would be advised to treat patients with two distinct classes of antimicrobials until susceptibility profiles were known due to multi-drug resistance concerns.²⁶

Other Antimicrobials

- Some classes of aminoglycosides and all classes of chloramphenicol and trimethoprimsulfamethoxazole are not FDA approved for plague; however, they are effective options based on prior clinical experience and animal data.²⁶
- Amphenicols and sulfonamides are other classes of antibiotics that have demonstrated success in treating patients with plague.^{26, 80}
- Other classes of antimicrobials are minimally effective or ineffective in treating plague.⁸⁰ Penicillins, cephalosporins, macrolides, and vancomycin antibiotics have been unsuccessful at effectively treating plague patients.⁸⁰

Alternative Treatments

- A recent *in vivo* study found a combination phage therapy and second-line ceftriaxone treatment led to the increased survival of all infected animals.⁸¹
- A recent study found 17 FDA-approved drugs, not classified as antibiotics, to be effective in preventing cytotoxicity from *Y. pestis* infection. Of the 17, three drugs, doxapram (DXP), amoxapine (AXPN), and trifluoperazine (TFP), increased animal survivability in a murine model of pneumonic plague.⁸²
- Molecules that inhibit uridine diphosphate-3-O-(R-3-hydroxymyristoyl)-N-acetyl-Dglucosamine deacetylase (LpxC), the enzyme that catalyzes the first step in lipid A biosynthesis, are being studied as novel antibiotics.^{5, 83}
- Other proposed treatments include: cationic antimicrobial peptides,⁸⁴ drugs that target the type three secretion system,⁸⁵ drugs structurally similar to Yersiniabactin (allows for iron uptake),⁸⁶ predatory bacteria,⁸⁷ monoclonal antibodies,⁸⁸ molecules targeting host mechanisms and channels,⁸² anti-inflammatories,⁸⁹ and compounds that have been found to inhibit adhesion to respiratory cell lines.^{5, 90}

Prophylaxis

- In situations where standard and droplet precautions cannot be sustained, pre-exposure prophylaxis might be warranted if sufficient supplies of antimicrobials are available. Currently there is no data on the duration or efficacy of pre-exposure prophylaxis.²⁶
- Any persons not wearing sufficient personal protective equipment (PPE) who had sustained contact with a plague patient, infectious materials, or infected animals should seek post exposure prophylaxis treatment.²⁶
- Post exposure prophylaxis treatment should include an effective antimicrobial regime of at least seven days.^{26, 91}

What do we need to know?

 Is pre-exposure prophylaxis with antimicrobials effective at preventing the spread of pneumonic plague?

Vaccines Are there effective vaccines? What do we know?

Human Vaccines:

• A formalin-killed whole-cell vaccine (KWCV) was available in the U.S. until 1999¹⁰ but was removed from use for three reasons: 1) its lack of protection against pneumonic

plague^{10, 92} (attributed to lack of the V antigen in the KWCV formulations⁹³); 2) caused significant adverse reactions;⁹⁴ and 3) it required frequent boosting which is associated with the adverse reactions.⁹⁵

• EV76, a live-attenuated strain, was created by the Soviet Union in 1936 and is still used in some countries.⁹⁶⁻⁹⁸ It is not used in the U.S. due to high reactogenicity.⁹⁶

Clinical Trials for Y. pestis Vaccines:

• Phase II clinical trials conducted with combination F1+recombinant V (F1 antigen, and virulence antigen) vaccine doses showed 100% seroconversion rates of F1 antibodies and no serious adverse events related to the vaccine were observed.⁹⁹

Y. pestis vaccines in the research and development phase:

- Several protein subunit, DNA, recombinant, and live-attenuated vaccine candidates are in development.⁹⁶ Many are being tested in mice with some vaccine trials being performed in cynomolgus macaques^{11, 13} with a couple in African green monkey,^{13, 92} and Rhesus macaques.¹³
- Live attenuated strains of *Y. pestis* or related organisms that contain both F1 and V antigens show protection against both bubonic and pneumonic plague in mice. ¹⁰⁰⁻¹⁰²
- *Y. pestis* CO92 delta yopH is a potent live, attenuated plague vaccine eliciting protection from pneumonic and bubonic plague in mice with 100% survival following intranasal and subcutaneous challenge with 10⁷ CFU and 10⁵ CFU, respectively.¹⁰¹
- An attenuated strain of *Y. pseudotuberculosis* was engineered to produce *Y. pestis* F1 antigen and was able to give full protection to mice with a single dose taken orally.⁹⁷
- Subunit vaccines that contain F1 and V antigens have shown strong protection either in combination (F1+V) or genetically fused (F1-V).⁹³
- A modified V antigen, LcrV, DNA vaccine with a human tissue plasminogen activator signal sequence was shown to elicit a V-specific antibody response in Balb/C mice and led to protection against lethal intranasal challenge of *Y. pestis*.¹⁰³
- Antibody-mediated and cell-mediated immune responses are required to achieve full protection. CD8+ T cell epitopes in the LcrV protein were identified and demonstrated to be protective.¹⁰⁴

What do we need to know?

 Which vaccine provides the best protection and carries the least health burden on the patient?

Environmental Stability How long does the agent live in the environment? What do we know?

Y. pestis persistence in the environment is poorly understood.^{35, 37, 105}

- One study found *Y. pestis* could remain viable and virulent after 40 weeks in soil.¹⁰⁵⁻¹⁰⁶ The mechanism for how it stays viable in the soil is unclear but could be associated with the saprophytic phase, which would allow *Y. pestis* to survive between epizootics.¹⁰⁵
- Another possibility suggests *Y. pestis* could act as an endosymbiont with amoebas, thus surviving in the soil where it has the possibility of reinfecting burrowing rodents.³⁷

 Infected live rodents and carcasses could provide a reservoir for *Y. pestis* in soils.³⁵ However, in a study to determine the infectivity of *Y. pestis*-contaminated soil, researchers exposed 104 mice to "burrows" contaminated with highly bacteremic blood (> 10⁸ CFU/mL). The mice had an artificial open wound to increase the likelihood of *Y. pestis* infection. Of the 104 animals, only one became infected with *Y. pestis*. Therefore, although it may be possible for *Y. pestis* to infect from soil, it's not likely a major transmission route.¹⁰⁷

Y. pestis survival in soils suggests a correlation to soil chemistry.^{35, 106}

- In a controlled study spanning over four decades, researchers investigated the correlation between trace metals naturally found in soils and plague plots. They determined that concentrations of trace metals in plague plots were up to 20-fold higher or lower than the control plots depending on the metal. Plague was positively associated with manganese and cobalt, while negatively associated with copper, zinc, and molybdenum.³⁵
- Another study determined that salts in the soil are one of the factors contributing to the continuation of the plague foci in North Africa and Eurasia. Researchers found that plague foci were concentrated along salt "chotts" around the edges of salt lakes in North Africa. Since very few pathogenic bacteria are halotolerant, the salt tolerance of *Y. pestis* may play a role in maintaining the North African plague foci.¹⁰⁶

The stability of Y. pestis may be related to temperature and/or relative humidity.¹⁰⁸⁻¹⁰⁹

- Temperature should be considered a relative parameter when assessing plague outbreaks.¹¹⁰ Outbreaks have been shown to follow a distinct seasonal and temperature pattern.³² In the Northern Hemisphere, consistent data demonstrate a temperature-related correlation to the epidemic peak timing and growth rates of plague. The expected outbreak growth rates were positive between 11.7°C and 21.5°C with a maximum around 17.3°C.¹¹⁰
- *Y. pestis* can survive and persist in low temperature tap water by entering a viable but non-culturable state. Researchers determined *Y. pestis* became non-culturable after 21 days in low-temperature water but still maintained viable cells.¹¹¹
- One study tested the survivability of conditionally virulent pYV-bearing Y. pestis KIM5 on raw ground beef.¹⁰⁸
- At 0°C and 4°C, Y. pestis KIM5 did not grow but maintained viability on the meat surface.¹⁰⁸
- At 10°C and 25°C, Y. *pestis* KIM5 grew to maximum population densities of 8.65, 8.30, and 8.43 log₁₀ CFU/g. The growth rate at 25°C was four-folds faster than the growth rate at 10°C.¹⁰⁸
- Raw beef contaminated with *Y. pestis* could cause oro-pharyngeal plague if exposed to temperatures between 10°C and 25°C and not cooked properly.¹⁰⁸
- Another study found airborne suspensions of *Y. pestis* at 30°C on stainless steel surfaces, survived more than three days at 11% relative humidity but less than two days at 100% relative humidity.¹⁰⁹ Slow drying occurs at high relative humidity and can distort the membrane protein structure.¹¹²
- *Y. pestis* survived for less than 30 minutes at 30°C and 52% relative humidity, but survived for at least six hours at 22°C and 52% relative humidity.¹⁰⁹

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• Y. pestis was shown to persist on paper at 55% relative humidity for five days.¹¹²

What do we need to know?

- How long is Y. pestis viable in samples from patients?
- What is the stability of Y. pestis on various common surfaces and food items?

Decontamination What are effective methods to kill the agent in the environment? What do we know?

The U.S. EPA's list of registered disinfectants, from both List H and List K,¹¹³⁻¹¹⁵ can be used against *Y. pestis* on hard, non-porous surfaces. Disinfectants are defined as substances that will destroy or irreversibly inactivate bacteria, viruses, or fungi, but not necessarily bacterial spores.¹¹³

• The EPA also lists ethylene oxide sterilization, gamma irradiation, and electron beam technologies, and Ultraviolet-C (UVC) light produced with a mercury bulb as possible decontamination methods for items that are sensitive or irreplaceable.¹¹³

Other literature reviewed for disinfection, inactivation, or decontamination potential on different surfaces include:

- pH-adjusted bleach was made by adding 9.4 parts of sterile water to one part Ultra Clorox® bleach and one part 5% acetic acid.¹¹⁶ 100 μL of *Y. pestis* CO92 strain at 1 × 10⁹ CFU/mL was inactivated in 15 minutes with pH-adjusted bleach on aluminum, glass, and wood.¹¹⁶
- Ethanol (70%) inactivated 100 μL of *Y. pestis* CO92 strain at 1 × 10⁹ CFU/mL after 15 minutes at room temperature on aluminum and glass.¹¹⁶ Ethanol (70%) inactivated *Y. pestis* at a lower temperature (10-13°C) after 15 minutes on wood, carpet, and concrete.¹¹⁶
- 1 × 10⁸ CFU of Y. *pestis* CO92 strain was inactivated by quaternary ammonia after 15 minutes at 10°C -13°C on aluminum, glass, wood, and concrete.¹¹⁶
- 1 × 10⁸ CFU of *Y. pestis* CO92 strain was inactivated by Pine-sol® after 15 minutes at 10°C -13°C on aluminum, glass, wood, carpet, and concrete.¹¹⁶
- A phage cocktail, YPP-100, containing five phages at 10⁷ plaque forming units (PFU)/mL or higher decontaminated Y. *pestis* (strains CO92, KIM and 1670G at 1E9) on contaminated glass, gypsum board, and stainless steel in five minutes.¹¹⁷
- Hydrogen peroxide vapor fumigation with a two-hour contact time was effective to decontaminate 1.7 × 10⁸ CFU of *Y. pestis* CO92.¹¹⁸

What do we need to know?

• What is the minimum inhibitory concentration of decontaminating agent and duration of required contact time in samples?

Personal Protective Equipment (PPE) What PPE is effective and who should be using it? What do we know?

The 2009 WHO guidelines for people disposing of deceased plague victims are to wear masks, protective clothing, boots, and thick rubber gloves.⁴ Antibiotic chemoprophylaxis is suggested for

those in direct contact.⁴ An update to the guidelines has been proposed to make the minimum protective equipment a gown, goggles, an N95 mask, and gloves.⁴

- *Y. pestis* is a risk group 3 pathogen and should be handled in BSL 3 laboratories using BSL 3 practices.¹¹⁹ Laboratory workers should wear protective clothing with a solid front, such as wrap-around gowns, scrub suits, or coveralls, eye and/or face protection, two pairs of gloves, respiratory protection, and shoe covers.¹²⁰
- When performing diagnostic testing of suspected Y. pestis-contaminated samples, the American Society for Microbiology suggests microbiology laboratories use BSL 2 practices including a biosafety cabinet as the minimum requirement for safe handling.⁵⁴

What do we need to know?

• What additional precautions are required for the immunosuppressed and populations that may have prolonged contact with an infected individual?

Genomics

How does the disease agent compare to previous strains? What do we know?

Y. pestis has historically been grouped into three biovars according to the ability to reduce nitrate and use glycerol. Antiqua biovars are positive for both abilities. Mediaevalis biovars (CO92 strain) do not reduce nitrate but are positive for glycerol utilization. Orientalis biovars (KIM strain) reduce nitrate but do not utilize glycerol.¹²¹ Loss of metabolic function created the Mediaevalis and Orientalis biovars from the Antiqua biovar.¹²¹ Orientalis biovars have a deletion in the glpD gene and mediaevalis biovars are associated with two mutations in the napA gene.¹²¹

- Whole genome sequencing techniques have led to the classification of a five branch population structure: biovar Antiqua, Medievalis, Orientalis, Intermediate, and Pestoides, including Microtus isolates.^{56, 122}
- The evolution of *Y. pestis* from *Y. pseudotuberculosis* is associated with the acquisition of plasmids pFra and pPla, which encode virulence factors, and gene loss which impacted adaptation to flea vectors.⁵⁶
- *Yersinia* outer membrane proteins (Yops) have been shown to contain a variety of functions. They down regulate the production of pro-inflammatory cytokines, inhibit Rho GTPases, disrupt the actin cytoskeleton in order to inhibit phagocytosis, and induce cell death by multiple mechanisms.⁵⁶
- *Y. pestis* contains a plasmid, pYV/pCD1, which encodes a type 3 secretion system that helps directly translocate Yops effectors.⁵⁶
- *Y. pestis* subverts the human immune system using the broad-range protease, Pla, an absence of pathogen-associated molecular patterns, and iron capture systems. These virulence determinants can allow unrestricted bacterial replication in lymph nodes and in lungs.⁵⁶
- The capsular antigen, F1, is a target for some diagnostic testing.⁵⁶
- Y. *pestis* has been noted to undergo frequent intra-genomic recombination and have an abnormally fluid genome.¹²¹ Frequent genome rearrangement events cause continuous evolution of Y. *pestis*.¹²¹ Through horizontal gene transfer Y. *pestis* has been able to gain genes and cross species barriers.^{121, 123} Y. *pestis* has also evolved to lose genes for better adaptation to flea vectors.¹²⁴ Parallel evolution of Y. *pestis* genes may help it

survive in fluctuating environments.¹²⁵

• Plasmids,¹²³ genomic islands,¹²³ and transposons¹²⁴ play a key role in virulence adaptation.¹²³

Antimicrobial Resistance

- Five Y. *pestis* strains with unique antimicrobial resistance profiles have been isolated in Madagascar.^{47, 124} Another streptomycin-resistant isolate was identified in China.^{124, 126}
- A multi-drug resistant isolate from a patient in Madagascar was shown to contain a selftransmissible plasmid, pIP1202, which was responsible for antimicrobial resistance.¹²⁷ This plasmid confers resistance to first-line antimicrobials including: streptomycin, tetracycline, chloramphenicol, and sulfonamides.¹²⁷⁻¹²⁸ *Y. pestis* isolates with this plasmid produce proteins that inactivate antimicrobial drugs.¹²⁴
- The plasmids plP1203 (self-transferable) and plP2180H (mobile plasmid), found in separate antimicrobial resistant isolates, encode resistance to streptomycin and doxycycline, respectively.^{124, 129-130} Y. *pestis* isolates with the plP1203 plasmid encode genes for aminoglycoside 3"-O-phosphotransferase and a 6-O-phosphotransferase.¹²⁹ The plP2180H plasmid was found to produce a tetracycline efflux protein and carry regulators for the protein.¹³⁰
- Ribosomal protein S12 (*rpsl*) gene mutations were found to be responsible for the streptomycin resistance of two isolates recovered from Madagascar and one from China.¹²⁴ Ribosomal mutations were hypothesized to disrupt the binding of streptomycin to ribosomal RNA binding sites.^{124, 126}
- Other antimicrobial resistant isolates have been identified, but the genetic basis or transferability was not known.¹²⁴
- An isolate resistant to gentamicin, tetracycline, doxycycline, trimethoprimsulfamethoxazole, and chloramphenicol was identified in a Mongolian marmot.¹²⁴
- In Madagascar a tetracycline-resistant isolate and an ampicillin-resistant isolate were identified in a rat and a flea, respectively.^{124, 131}

What do we need to know?

- Are there changes due to genomic destabilization and gene loss that may pose a potential threat for accelerated adaptation to humans?
- Could further adaptation to humans occur through gene gain or through nucleotide changes resulting in increased virulence or transmission?

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Commonly Used Acronyms and Abbreviations

Acronym/Term	Definition	Description
BSL	Biosafety Level	Level of safety practices and engineering features used to contain pathogenic microorganisms in a laboratory setting
CDC	Centers for Disease Control and Prevention	N/A
CFU	Colony Forming Unit	A unit that estimates the number of microbial cells in a sample that are viable by visualizing growth of a colony of microbes on an agar plate
DHS S&T	U.S. Department of Homeland Security Science and Technology Directorate	N/A
EPA	U.S. Environmental Protection Agency	N/A
FDA	U.S. Food and Drug Administration	N/A
ID ₅₀	Median Infectious Dose	The dose required to infect 50% of the population
KWCV	Killed Whole-Cell Vaccine	N/A
LD ₅₀	Median Lethal Dose	Dose required to cause a lethal effect in 50% of subjects
MQL	Master Question List	N/A
PCR	Polymerase Chain Reaction	Assay used to determine the number of RNA or DNA molecules representing a specific sequence target are present in a sample
PFU	Plaque Forming Unit	Unit representing a single infectious viral particle derived from viral quantification via plaque assay
PPE	Personal Protective Equipment	Equipment intended to protect individuals against hazardous environments
R₀	Basic Reproductive Number	Average number of new infections that each case is expected to generate in a population where all individuals are susceptible to infection
UVC	Short-Wave Ultraviolet Light	Light with wavelength in the 100-280 nm range
WHO	World Health Organization	N/A
Yops	Yersinia outer membrane proteins	N/A

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