

Department of Homeland Security

Analysis and Operations

Budget Overview



Fiscal Year 2019
Congressional Justification

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Analysis and Operations

Appropriation Organization Structure

Organization Name	Level	Fund Type (* Includes Defense Funding)
Analysis and Operations	Component	
Operations and Support	Appropriation	Discretionary - Appropriation

**Analysis and Operations
Budget Comparison and Adjustments**

Budget Comparison with FY 2018 Annualized CR

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 Annualized CR	FY 2018 President's Budget	FY 2019 President's Budget
Operations and Support	\$263,551	\$261,761	\$252,405	\$253,253
Total	\$263,551	\$261,761	\$252,405	\$253,253

Analysis and Operations Comparison of Budget Authority and Request

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	845	818	\$263,551	885	837	\$252,405	878	841	\$253,253	(7)	4	\$848
Total	845	818	\$263,551	885	837	\$252,405	878	841	\$253,253	(7)	4	\$848
Subtotal Discretionary - Appropriation	845	818	\$263,551	885	837	\$252,405	878	841	\$253,253	(7)	4	\$848

Analysis and Operations provides resources supporting the Office of Intelligence and Analysis and the Office of Operations Coordination. Even though these two offices are distinct in their missions, they work together and with other DHS Components to support the Department’s mission of protecting the Homeland. The mission of the Office of Intelligence and Analysis is to equip the Homeland Security Enterprise (HSE)¹ with the intelligence and information it needs to keep the Homeland safe, secure, and resilient; in support of the Secretary, other government officials, and State, local, and private sector partners. The mission of the Office of Operations Coordination is to provide steady state and crisis action information sharing, situational awareness, and operations coordination to assist the Secretary across the enterprise and during continuity operations; in order to prevent, protect, respond to, and recover from terrorist threats/attacks or threats from other man-made or natural disasters.

¹The DHS Homeland Security Enterprise is defined in the Quadrennial Homeland Security Review Report as “the federal, state, local, tribal, nongovernmental, and private-sector entities, as well as individuals, families, and communities who share a common national interest in the safety and security of America and the American population.”

Analysis and Operations Budget Authority and Obligations

Budget Authority <i>(Dollars in Thousands)</i>	FY 2017	FY 2018	FY 2019
Enacted/Request	\$263,551	\$252,405	\$253,253
Carryover and/or Recoveries (Actual/Estimates/Projections)	\$10,342	\$15,913	\$2,157
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Supplementals	-	-	-
Total Budget Authority	\$273,893	\$268,318	\$255,410
Collections – Reimbursable Resources	\$4,000	\$8,000	\$39,800
Total Budget Resources	\$277,893	\$276,318	\$295,210
Obligations (Actual/Projections/Estimates)	\$257,081	\$275,873	\$292,582
Personnel: Positions and FTE			
Enacted/Request Positions	845	885	878
Enacted/Request FTE	818	837	841
Onboard and Actual FTE; Includes Collections - Reimbursable Resources			
Onboard (Actual/Estimates/Projections)	791	902	899
FTE (Actual/Estimates/Projections)	777	853	860

**Analysis and Operations
Personnel Compensation and Benefits**

Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted				FY 2018 President's Budget				FY 2019 President's Budget				FY 2018 to FY 2019 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	845	818	\$122,274	\$144.71	885	837	\$124,750	\$144.22	878	841	\$125,514	\$144.6	(7)	4	\$764	\$0.38
Total	845	818	\$122,274	\$144.71	885	837	\$124,750	\$144.22	878	841	\$125,514	\$144.6	(7)	4	\$764	\$0.38
Discretionary - Appropriation	845	818	\$122,274	\$144.71	885	837	\$124,750	\$144.22	878	841	\$125,514	\$144.6	(7)	4	\$764	\$0.38

Pay by Object Class

Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
11.1 Full-time Permanent	\$89,571	\$91,103	\$92,573	\$1,470
11.3 Other than Full-Time Permanent	\$240	\$239	\$262	\$23
11.5 Other Personnel Compensation	\$2,470	\$2,373	\$2,099	(\$274)
11.8 Special Personal Services Payments	\$3,905	\$3,863	\$3,902	\$39
12.1 Civilian Personnel Benefits	\$26,088	\$26,994	\$26,678	(\$316)
13.0 Benefits for Former Personnel	-	\$178	-	(\$178)
Total - Personnel Compensation and Benefits	\$122,274	\$124,750	\$125,514	\$764
Positions and FTE				
Positions - Civilian	845	885	878	(7)
FTE - Civilian	818	837	841	4

**Analysis and Operations
Non Pay Budget Exhibits**

Non Pay Summary

Organization <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Total Changes
Operations and Support	\$141,277	\$127,655	\$127,739	\$84
Total	\$141,277	\$127,655	\$127,739	\$84
Discretionary - Appropriation	\$141,277	\$127,655	\$127,739	\$84

Non Pay by Object Class

Non-Pay Object Classes <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
21.0 Travel and Transportation of Persons	\$3,394	\$2,918	\$2,922	\$4
22.0 Transportation of Things	\$280	\$280	\$519	\$239
23.1 Rental Payments to GSA	\$9,004	\$8,479	\$7,886	(\$593)
23.2 Rental Payments to Others	\$239	\$259	\$300	\$41
24.0 Printing and Reproduction	-	\$583	\$300	(\$283)
25.1 Advisory and Assistance Services	\$74,116	\$64,953	\$64,794	(\$159)
25.3 Other Goods and Services from Federal Sources	\$41,130	\$35,435	\$36,504	\$1,069
25.4 Operation and Maintenance of Facilities	\$14	\$14	\$15	\$1
25.7 Operation and Maintenance of Equipment	\$5,867	\$5,104	\$5,190	\$86
26.0 Supplies and Materials	\$956	\$966	\$579	(\$387)
31.0 Equipment	\$5,977	\$8,364	\$8,430	\$66
32.0 Land and Structures	\$300	\$300	\$300	-
Total - Non Pay Object Classes	\$141,277	\$127,655	\$127,739	\$84

Analysis and Operations
Supplemental Budget Justification Exhibits

Working Capital Fund

Appropriation and PPA <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget
Operations and Support	\$31,301	\$27,572	\$30,033
Total Working Capital Fund	\$31,301	\$27,572	\$30,033

Analysis and Operations
Status of Congressionally Requested Studies, Reports and Evaluations

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
N/A				

**Analysis and Operations
Authorized/Unauthorized Appropriations**

Budget Activity <i>Dollars in Thousands</i>	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2019 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support	N/A	N/A	N/A	\$253,253
Total Direct Authorization/Appropriation				\$253,253

Analysis and Operations Proposed Legislative Language

For necessary expenses of the Office of Intelligence and Analysis and the Office of Operations Coordination, as authorized by title 2 of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), [\$252,405,000]; **\$253,253,000**, for operations and support; of which not to exceed \$3,825 shall be for official reception and representation expenses; of which not to exceed \$2,000,000 is available for facility needs associated with secure space at fusion centers, including improvements to buildings; and of which [\$77,915,795] **\$78,299,000** shall remain available until September 30,[2019] 2020.

Language Provision	Explanation
[\$252,405,000]; \$253,253,000	Dollar change only. No substantial change proposed.
[\$77,915,795] \$78,299,000	Dollar change only. No substantial change proposed.

Reimbursable Resources

Collections <i>(Dollars in Thousands)</i>		FY 2017 Enacted			FY 2018 President's Budget			FY 2019 President's Budget			FY 2018 to FY 2019 Change		
		Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Analysis and Operations	Source	10	-	\$4,000	17	16	\$8,000	21	19	\$39,800	4	3	\$31,800
Operations and Support	Location	10	-	\$4,000	17	16	\$8,000	21	19	\$39,800	4	3	\$31,800
Total Collections		10	-	\$4,000	17	16	\$8,000	21	19	\$39,800	4	3	\$31,800

Department of Homeland Security

Analysis and Operations
Operations and Support



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Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

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Operations and Support Budget Authority and Obligations

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Carryover and/or Recoveries (Actual/Estimates/Projections)	\$10,342	\$15,913	\$2,157
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FTE (Actual/Estimates/Projections)	777	853	860

Operations and Support Summary of Budget Changes

Budget Formulation Activity <i>(Dollars in Thousands)</i>	Positions	FTE	Amount
FY 2017 Enacted	845	818	\$263,551
FY 2018 President's Budget	885	837	\$252,405
FY 2019 Base Budget	885	837	\$252,405
Transfer to CWMD from A&O	(7)	(7)	(\$1,223)
Transfer to MGMT/CFO from A&O for CFO Workforce Development Training	-	-	(\$13)
Transfer to MGMT/OCHCO from A&O due to OCHCO WCF Activity Cost Removal	-	-	(\$151)
Transfer to MGMT/OCIO from A&O due to CIO WCF Activity Cost Removal	-	-	(\$46)
Total Transfers	(7)	(7)	(\$1,433)
Annualization of 2018 Pay Raise	-	11	\$1,661
Retirement Contributions and Other Benefits	-	-	\$157
Various increases (See Classified Annex)	-	-	\$463
Total, Pricing Increases	-	11	\$2,281
Total Adjustments-to-Base	(7)	4	\$848
FY 2019 Current Services	878	841	\$253,253
FY 2019 Request	878	841	\$253,253
FY 2018 TO FY 2019 Change	(7)	4	\$848

**Operations and Support
Justification of Pricing Changes**

Pricing Changes <i>(Dollars in Thousands)</i>	FY 2019 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Annualization of 2018 Pay Raise	-	11	\$1,661
Pricing Change 2 - Retirement Contributions and Other Benefits	-	-	\$157
Pricing Change 3 - Various increases (See Classified Annex)	-	-	\$463
Total Pricing Changes	-	11	\$2,281

Please reference classified annex.

Operations and Support
Personnel Compensation and Benefits
Pay Summary

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* The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

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11.1 Full-time Permanent	\$89,571	\$91,103	\$92,573	\$1,470
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Operations and Support Permanent Positions by Grade – Appropriation

Grades and Salary Range <i>(Dollars in Thousands)</i>	FY 2017 Enacted	FY 2018 President's Budget	FY 2019 President's Budget	FY 2018 to FY 2019 Change
Total, SES	27	29	29	-
Total, EX	1	1	1	-
GS-15	134	132	132	-
GS-14	208	213	209	-4
GS-13	204	213	210	-3
GS-12	136	144	144	-
GS-11	44	50	50	-
GS-9	40	52	52	-
GS-7	46	46	46	-
GS-5	2	2	2	-
GS-4	3	3	3	-
Total Permanent Positions	845	885	878	-7
Unfilled Positions EOY	7	-	-	-
Position Locations				
Headquarters	745	785	778	-7
U.S. Field	100	100	100	-
Averages				
Average Personnel Costs, ES Positions	178	219	219	-
Average Personnel Costs, GS Positions	96	130	130	-
Average Grade, GS Positions	13	13	13	-

¹New hires personnel costs assume only 1/2 pay for the year, which reduces the average personnel costs for the year.

**Operations and Support
Non Pay Budget Exhibits**

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