

April 30, 2009

The Honorable Arne Duncan Secretary U.S. Department of Education Washington, D.C. 20202-0001

Dear Secretary Duncan:

I am writing to submit the enclosed Department of Homeland Security (DHS) Fiscal Year 2008 Annual Federal Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities (HBCUs), pursuant to Executive Order 13256.

The instructions and guidelines from the White House Initiative on HBCUs call for the following information: a summary of the agency's performance during FY 2008; a summary of total funding, by category, resulting from agency initiatives to strengthen HBCUs; a listing of FY 2008 agency awards, by institution and category; and a brief list of activities carried out during FY 2008, including the program/activity, amount of award, and HBCU recipient.

This report reflects DHS's commitment to supporting the objectives of the Executive Order. The report also summarizes the DHS's efforts to increase the capacity of HBCUs to provide quality education and to participate in and benefit from DHS programs through existing and long-standing relationships with HBCUs and their surrounding communities. DHS key strategies for FY 2008 included participation in Research and Development, Training and Technical Assistance, Internships, Recruitment, Tuition Assistance and Outreach Activities.

If we can provide further information or assistance, please contact Ivelisse Reyes-Sainz, EEO and Diversity Program Manager, Office for Civil Rights and Civil Liberties, at (202) 254-8227.

Sincerely,

Stephen T. Shih, Esq.

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Deputy Officer, and Director for EEO

and Diversity Programs

Office for Civil Rights and Civil Liberties

U.S. Department of Homeland Security

Enclosure

#### Fiscal Year 2008 Annual Federal Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities

#### **Transmittal Sheet**

Department/Agency: U.S. Department of Homeland Security

Name of Agency Representative

Name: Stephen T. Shih

Title: Deputy Officer, and Director for Equal

**Employment Opportunity and Diversity** 

**Programs** 

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Return this sheet to the White House Initiative Office.



# WHITE HOUSE INITIATIVE ON HISTORICALLY BLACK COLLEGES AND UNIVERSITIES

Annual Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities Fiscal Year 2008

## U.S. Department of Homeland Security Annual Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities For Fiscal Year 2008

#### Part I, Section A – FY 2008 Summary of Agency Performance

This is the Department of Homeland Security's Annual Performance Report on Executive Agency Actions to Assist Historically Black Colleges and Universities (HBCUs) for Fiscal Year (FY) 2008. We are submitting this Report to the White House Initiative pursuant to Executive Order 13256.

The Department's key strategies for FY 2008 included participation in Research and Development, Internships, Recruitment and Outreach Activities. To support these strategies, the DHS FY 2008 Annual HBCU Plan projected a total of \$5,320,684 in funds to HBCUs. The Department exceeded the projection and is very pleased to report that its financial support to HBCUs for the FY 2008 reporting period was \$82,774,047, over a 1000 percent increase when compared to FY 2007 (\$4,282,315).

DHS made significant noteworthy increases in two categories for FY 2008: Research & Development (R&D) and Facilities & Equipment (F&E). Specifically, in the R&D category, the Directorate of Science and Technology, University Programs, awarded a total of \$2,340,878 to HBCUs. Under the F&E category, the U.S. Citizenship and Immigration Services, Immigration and Customs Enforcement, and the Gulf Coast Transitional Recovery Office, a component of the Federal Emergency Management Agency (FEMA), reported a combined total of \$78,652,086 in computer equipment donations and grants to maintain the schools' facilities and equipment. See Section B – FY 2008 Summary of Total Awards, by Category.

In contrast to the above category increases, the Department experienced decreases under the Training and Technical Assistance and Student Tuition Assistance award categories between FY 2007 and FY 2008. The decreases are attributed to a combined reduction in HBCU funds totaling \$205,367 from FEMA and DHS supporting components. See <u>Section D – FY 2008</u> <u>Awards Narrative</u> for a breakdown by component.

### U.S. Department of Homeland Security Part I, Section B – FY 2008 Summary of Total Awards by Category

1. AGENCY: U.S. Department of Homeland Security

2. DATE: April 30, 2009

3. TOTAL FUNDS AWARDED TO INSTITUTIONS OF HIGHER EDUCATION (IHE): \$ 202,638,670

	Category	FY 2008 Total Funds To IHEs	FY 2008 Total Funds To HBCUs	Funds To HBCUs as % of FY 2008 Total Funds To IHEs
1.	Research & Development	\$36,972,254.00	\$2,340,878.00	6.3%
2.	Training & Technical Assistance	\$69,533,959.00	\$141,960.00	0.2%
3.	Facilities & Equipment	\$78,687,403.00	\$78,652,086.00	99.96%
4.	Fellowships, Traineeships, Internships, Recruitment, & IPAs	\$4,213,251.00	\$337,113.00	8.0%
5.	Student Tuition Assistance, Scholarships, and Other Aid	\$9,863,529.00	\$91,376.00	0.9%
6.	Direct Institutional Subsidies	\$3,068,274.00	\$1,200,000.00	39.1%
7.	Third-Party Awards	\$300,000.00	\$10,635.00	3.5%
	TOTAL	\$202,638,670	\$82,774,047	40.8%

STATE/INSTITUTION	R&D	T & TA	F&E	FELLOWS	STA	DIS	TPA	T	OTALS
ALABAMA								1	
Alabama A&M University				600	11,076			\$	11,676
Alabama State University		1,584						\$	1,584
Bishop State Comm. College								\$	-
Concordia College								\$	-
Fredd State Technical College								\$	-
Gadsden State Comm. College								\$	-
Lawson State Community College								\$	-
Miles College								\$	-
Oakwood College								\$	-
Selma University								\$	-
J. F. Drake Technical College								\$	-
Stillman College								\$	-
Talladega College								\$	-
Trenholm State Technical College								\$	-
Tuskegee University	41,386			7,756				\$	49,142
ARKANSAS									
Arkansas Baptist College								\$	-
Philander Smith College								\$	-
Shorter College								\$	-
U. of Arkansas @ Pine Bluff	31,500							\$	31,500
DELAWARE									
Delaware State University				875				\$	875
DISTRICT OF COLUMBIA									
Howard University	150,594	100,584		67,710				\$	318,888
Univ. of D.C.	ĺ	5,584	17,250	24,425				\$	47,259
FLORIDA							<u> </u>		
Bethune-Cookman College				200				\$	200
Edward Waters College								\$	-
Florida A&M University	57,408			1,575				\$	58,983
Florida Memorial College	27,130	2,505	16,634	3,330				\$	22,469

STATE/INSTITUTION	R&D	T & TA	F&E	FELLOWS	STA	DIS	ТРА	T	OTALS
GEORGIA									
Albany State University				24,025				\$	24,025
Clark Atlanta University		1,584		11,448				\$	13,032
Fort Valley State University				550				\$	550
Interdenomational Theological Ctr.								\$	-
Morehouse College	10,935	1,584		700	44,513			\$	57,732
Morehouse School of Medicine								\$	-
Morris Brown College		1,584		350					
Paine College				415				\$	415
Savannah State University				555	6,238			\$	6,793
Spelman College		1,584		900	10,670			\$	13,154
KENTUCKY									
Kentucky State University	31,500			240				\$	31,740
LOUISIANA									
Dillard University			18,010,748	350				\$ 1	8,011,098
Grambling State University								\$	-
Southern A&M University			69,877					\$	69,877
Southern Univ. @ New Orleans			21,389,759	450				\$ 2	1,390,209
Southern Univ. @ Shreveport								\$	-
Xavier University			39,075,001	10,959				\$ 3	9,085,960
MARYLAND									
Bowie State University				136,120				\$	136,120
Coppin State University		1,584		75				\$	1,659
Morgan State University	221,929	197	35,911	7,270				\$	265,308
Univ. of Maryland Eastern Shore		1,766		115				\$	1,881
MICHIGAN									
Lewis College of Business								\$	-
MISSISSIPPI									
Alcorn State University	50,000			200				\$	50,200
Coahoma Community College	Í							\$	_
Hinds Community College								\$	-

STATE/INSTITUTION	R&D	T & TA	F&E	FELLOWS	STA	DIS	TPA	Ί	TOTALS
MISSISSIPPI (Continued)	1							Т.	
Jackson State University	405,000	1,263	26,837	675				\$	433,775
Mississippi Valley State Univ.	61,000	7,336	1,962	175				\$	70,473
Rust College								\$	-
Tougaloo College	565,000			250				\$	565,250
MISSOURI									
Harris-Stowe State College				95				\$	-
Lincoln University								\$	-
NORTH CAROLINA									
Barber-Scotia College								\$	-
Bennett College				625	5,977			\$	6,602
Elizabeth City State University				27,414				\$	27,414
Fayetteville State University				250				\$	250
Johnson C. Smith University	50,000							\$	50,000
Livingstone College								\$	-
North Carolina State A&T Univ.	31,500			550	1,791			\$	33,841
North Carolina Central Univ.				1,000	•			\$	1,000
St. Augustine's College		1,584		150				\$	1,734
Shaw University								\$	-
Winston-Salem State University		1,584						\$	1,584
ОНЮ									
Central State University			5,166	100				\$	5,266
Wilberforce University			2,941	350				\$	3,291
OKLAHOMA		<u></u>					<u> </u>		
Langston University								\$	-
PENNSYLVANIA									
Cheyney University								\$	-
Lincoln University		1,584						\$	1,584

STATE/INSTITUTION	R&D	T & TA	F&E	FELLOWS	STA	DIS	TPA	Т	OTALS
SOUTH CAROLINA									
Allen University								\$	-
Benedict College				150				\$	150
Claflin College								\$	-
Clinton Jr. College								\$	-
Denmark Technical College								\$	-
Morris College		1,584		150				\$	1,734
South Carolina State Univ.	50,000			350				\$	50,350
Voorhees College								\$	-
TENNESSEE									
Fisk University				75				\$	75
Knoxville College								\$	-
Lane College								\$	-
Lemoyne-Owen College								\$	-
Meharry Medical College								\$	-
Tennessee State University	33,811	3,716		1,125				\$	38,652
TEXAS									
Huston-Tillotson College		1,584		1,360				\$	2,944
Jarvis Christian College				50				\$	50
Paul Quinn College								\$	-
Prairie View A&M University				25				\$	25
Saint Phillip's College								\$	-
Southwestern Christian College								\$	-
Texas College								\$	-
Texas Southern University	300,000							\$	300,000
Wiley College								\$	-
VIRGINIA									
Hampton University	21,315	1,584		500				\$	23,399
Norfolk State University	7	,- 0 1						\$	-
Saint Paul's College								\$	-
Virginia State University				300				\$	300

STATE/INSTITUTION	R&D	T & TA	F&E	FELLOWS	STA	DIS	TPA	TOTALS
VIRGINIA (Continued)								
Virginia Union University								\$ 300
Virginia University at Lynchburg								\$ -
WEST VIRGINIA								
Bluefield College				200				\$ 200
West Virginia State College								\$ -
U.S. VIRGIN ISLANDS								
University of the Virgin Islands								\$ -
UNIDENTIFIED HBCUs								
	228,000				11,111	1,200,000		\$ 1,439,111
OTHER								
							10,635	\$ 10,635
GRAND TOTAL	\$2,340,878	\$141,960	\$78,652,086	\$337,113	\$91,376	\$1,200,000	\$10,635	\$ 82,774,047

R&D - Research & Development	Fellows - Fellowship, Traineeships, Internships, Recruitment & IPAs	TPA – Third Party Award
T & TA – Training & Technical Assistance	STA - Student Tuition Assistance	
F&E - Facilities & Equipment	DIS - Direct Institutional Subsidies	

#### **Research and Development**

The *Directorate of Science & Technology (S&T)*, *University Programs*, continued collaborative partnerships between DHS Centers of Excellence and HBCUs in the following mission relevant areas: Explosives Detection, Mitigation and Response Social, Behavioral, and Economic Sciences; Risk and Decision Sciences; Human Factors Aspects of Technology; Chemical Threats and Countermeasures; Biological Threats and Countermeasures; Food and Agriculture Security; Transportation Security; Border Security; Immigration Studies; Maritime and Port Security; Infrastructure Protection; Natural Disasters and Related Geophysical Studies; Emergency Preparedness and Response; Communications and Interoperability; and Advanced Data Analysis and Visualization. Participating HBCUs included: Tuskegee University; University of Arkansas, Pine Bluff; Howard University; Florida A&M University; Morehouse College; Kentucky State University; Morgan State University; Alcorn State University; Jackson State University; Mississippi Valley State University; Tougaloo College; Johnson C. Smith University; North Carolina State A&T University; South Carolina State University; Tennessee State University; Texas Southern University; and Hampton University. **Total Award:** \$2,340,878

#### **Training and Technical Assistance**

The *DHS Office of the Chief Human Capital Officer (CHCO)* supported the Black Executive Exchange Program (BEEP) and developed relationships with on-campus administration officials and faculty with sixteen HBCUs, including: Alabama State University; Howard University; University of the District of Columbia; Clark Atlanta University; Morehouse College; Morris Brown College; Spelman College; Coppin State University; Mississippi Valley State University; St. Augustine's College' Winston-Salem State University; Lincoln University; Morris College; Tennessee State University; Huston-Tillotson College; and Hampton University. **Total Award: \$29,096** 

*U.S. Citizenship and Immigration Services (CIS)* senior leadership conducted lectures at Howard University on immigration law, and engaged in panel discussions on current issues affecting industry, government, and the economy. CIS senior leadership also participated in the BEEP program at Howard University. **Total Award: \$105,000** 

In support of the BEEP program, *U.S. Immigration and Customs Enforcement (ICE)* officials participated as guest lecturers at Florida Memorial University, Morgan State University, and Jackson State University. ICE staff also conducted mentoring sessions at Florida Memorial University, Huston-Tillotson University, Morgan State University, and Jackson State University that included career discussions, mock interviews and professional image guidance. **Total Award: \$5,732** 

The S&T, University Programs, held a grants workshop at Tennessee State University. Total Award: \$2,132

#### **Facilities and Equipment**

CIS donated excess software equipment to Morgan State University and Howard University.

**Total Award: \$34,500** 

*ICE* donated excess computer equipment (30 computer workstations and 9 portable laptops) to Morgan State University, Morgan State University, and Southern University at New Orleans.

**Total Award: \$58,632** 

The Gulf Coast Transitional Recovery Office, Federal Emergency Management Agency (FEMA), reported eight HBCUs received funding to maintain the school's facilities and equipment. Participating institutions include: Dillard University; Southern A&M University; Southern University at New Orleans; Xavier University; Jackson State University; Mississippi Valley State University; Central State University; and Wilberforce University. Total Award: \$78,558,954

#### Fellowships, Traineeships, Internships, Recruitment and IPAs

Customs & Border Protection (CBP) reported participating in recruitment activities and paying for recruitment ads. Total Award: \$5,510

*U.S. Coast Guard (USCG)* sponsored one HBCU student through the Student Career Employment Program (SCEP). **Total Award:** \$26,034

USCG also participated in career fairs and recruiting events held at various HBCUs. **Total Award:** \$12,840

CIS attended several recruitment and outreach activities at Howard University. Total Award: \$10,000

*ICE* awarded internships to five students attending HBCUs through the SCEP and Student Temporary Employment Program (STEP) programs. The internships provide the students with first hand experience about law enforcement program initiatives operated by ICE, and introduced them to the challenges and benefits of federal law enforcement careers. **Total Award: \$30,937** 

ICE managers, supervisors, and Special Emphasis Program Managers (SEPMs) participated in recruitment efforts at the following schools: Jackson State University; Florida Memorial University; University of the District of Columbia; Bowie State University; Benedict College; Paine College; Clark Atlanta University; Savannah State University; Huston-Tillotson University; Albany State University; Johnson C. Smith University; Spelman College; University of Maryland Eastern Shore; Grambling State University; Morehouse College; Claflin University; Allen University; and South Carolina State University. **Total Award: \$5,915** 

ICE also placed full-page color advertisements in the *Tuskegee University Placement Manual* and in the *Morgan State University Placement Manual*. **Total Award: \$13,826** 

FEMA sponsored a student from Xavier University. Total Award: \$10,359

CHCO awarded an internship to a student from Howard University. Total Award: \$15,876

The *DHS OIG* sponsored three HBCU students to participate in their STEP. These students are employed on a year-round basis, as part-time employees, during school breaks. The students attend Alabama A&M University, Morehouse College, and Spellman College. **Total Award:** \$40,084

In addition, the DHS OIG attended career fairs at: Howard University; University of the District of Columbia; Bowie State University; and North Carolina Central University. **Total Award:** \$1,525

*U.S. Secret Service (USSS)* sponsored seven HBCU students under the STEP from Bowie University, Albany State University, and Howard University. **Total Award: \$161,761** 

USSS also participated in recruitment activities at various HBCUs. Total Award: \$2,070

The *Transportation Security Administration (TSA)* reported participating in recruitment activities at Bowie State University and Coppin State University. **Total Award:** \$375

#### Student Tuition Assistance, Scholarships and Other Aid

The *S&T*, *University Programs*, provided scholarships and fellowships for undergraduate and graduate students pursuing degrees relevant to homeland security. The purpose of the program is to provide educational support and relevant experiential learning opportunities to diverse individuals in order to enhance scientific leadership in areas of importance to DHS. Participating HBCUs included: Alabama A&M University and Morehouse College. **Total Award: \$39,225** 

*USCG* awarded funds to HBCUs in the form of tuition and book costs in support of the College Student Pre-Commissioning Initiative (CSPI), which is a scholarship program designed to enhance the overall diversity of the Coast Guard officer corps. The USCG also awarded an internship through the Minority Serving Institutions (MSIs) National Internship Program to a student attending an HBCU. **Total Award:** \$47,887

The *Federal Law Enforcement Training Center (FLETC)* funded a student from Savannah State University under the STEP program. **Total Award:** \$4,264

#### **Direct Institutional Subsidies**

**S&T**, *University Programs*, continued its homeland security scientific leadership program which was established to build capacity in areas critical to homeland security at MSIs. The program provides MSIs with funds to support early career faculty to establish or expand education, research and training activities in homeland security science, technology, engineering, and mathematics areas. Participating HBCUs were not identified. **Total Award: \$1,200,000** 

#### **Third Party Awards**

USCG participated in career and recruitment events in an effort to support HBCUs. These events included: the Thurgood Marshall College Fund Ninth Annual Member Universities Professional Institute Conference; White House Initiative on HBCUs Conference; MSI Conference at Dillard University; 2008 HBCU Week; Elizabeth City State Annual Career Fair; and Hampton University Fall Career Fair. **Total Award: \$890** 

*USSS* reported other recruitment activities through third party awards including: Congressman Bennie G. Thompson Career Fair; 2008 National Urban League Conference and Job Fair; The National Organization of Black Law Enforcement Executives (NOBLE) 32<sup>nd</sup> Annual Training Conference and Exhibition; National Association of African Americans in the Department of Homeland Security (NAADHS); National Association for the Advancement of Colored People 99<sup>th</sup> Annual Convention Diversity Job Fair; Black College Spring Break Job Fair; Black Engineers of the Year Awards Conference; and Blacks in Government. **Total Award: \$9,745**