



Department of Homeland Security

Fiscal Year 2020 Freedom of Information Act Report
to the Attorney General of the United States and the
Director of the Office of Government Information Services

March 2021



Executive Summary

The Department of Homeland Security (DHS) regularly receives and processes the largest number of Freedom of Information Act (FOIA) requests in the Federal Government. In Fiscal Year 2020, DHS received and processed about 400,000 requests – these numbers are comparable to the record-breaking number of requests received and processed by DHS in FY 2019. DHS ended the reporting period with a backlog of 36,350 requests, an increase of about 15 percent compared to FY 2019.

While an increase in the backlog is disappointing, it is not surprising given the challenges facing the DHS FOIA program. These challenges include decentralization, staffing and resource limitations, and an outdated FOIA IT infrastructure that is further stressed by increases in the volume and complexity of the Department's information. Additionally, while DHS FOIA operations quickly pivoted to an all telework environment in the wake of the COVID-19 outbreak with minimal disruptions, there are some workflows that require an in-office presence.

In March 2019, DHS published the 2020 – 2023 Departmental FOIA Backlog Reduction Plan. Whereas most backlog reduction plans focus on short-term initiatives to quickly bring down the backlog, this plan lays out a framework for holistically addressing the root causes of the backlog and responsibly reducing and maintaining the backlog going forward. DHS has already made substantial progress on this plan and will continue to work to improve our service to the Department and the public, to invest in our employees, to strengthen our regulatory and policy framework, and to modernize our operations.

This report underlines the value of DHS FOIA operations to the public and is a testament to the dedicated work of our FOIA professionals to be responsive to the public. DHS responded to relatively routine requests that were placed in the simple track in an average of 34 days; more than 60 percent of these simple requests were answered in less than twenty days. The average age of simple pending requests at the end of the reporting period was about 37 days.

This report also memorializes several notable improvements in productivity by Components. U.S. Customs and Border Protection (CBP), Federal Emergency Management Administration (FEMA), Office of Intelligence and Analysis (I&A), U.S. Immigration and Customs Enforcement (ICE), and Transportation Security Administration (TSA) increased the number of requests processed between FY 2019 and FY 2020. Additionally, CBP, Cybersecurity and Infrastructure Security Agency (CISA), TSA, and United States Secret Service (USSS) reduced their backlogs by more than 20 percent. CBP's success of removing almost 9,000 requests from its backlog is particularly striking.

The DHS Privacy Office, led by the Chief Privacy and Chief FOIA Officer, has distinct responsibilities for oversight of the DHS FOIA program and improvements to compliance with the law. We will continue to work with Components to implement the backlog reduction strategy and improve operations.

TABLE OF CONTENTS

I.	Basic Information Regarding Report.....	5
II.	Making a FOIA Request	5
III.	Acronyms, Definitions, and Exemptions.....	5
IV.	Exemption 3 Statutes	10
V.	FOIA Requests	
	A. Received, Processed, and Pending FOIA Requests	15
	B. (1) Disposition of FOIA Requests - All Processed Requests	15
	(2) Disposition of FOIA Requests - “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B(1) Chart..	16
	(3) Disposition of FOIA Requests - Number of Times Exemptions Applied..	18
VI.	Administrative Appeals of Initial Determinations of FOIA Requests	18
	A. Received, Processed, and Pending Administrative Appeals.....	18
	B. Disposition of Administrative Appeals - All Processed Appeals	19
	C. (1) Reasons for Denial on Appeal - Number of Times Exemptions Applied..	19
	(2) Reasons for Denial on Appeal - Reasons Other than Exemptions	19
	(3) Reasons for Denial on Appeal - “Other” Reasons from Section VI, C(2) Chart.....	20
	(4) Response Times for Administrative Appeals.....	21
	(5) Ten Oldest Pending Administrative Appeals	21
VII.	FOIA Requests: Response Times for Processed and Pending Requests.....	22
	A. Processed Requests - Response Time for All Processed Perfected Requests..	22
	B. Processed Requests - Response Time for Perfected Requests in Which Information was Granted	22
	C. Processed Requests - Response Time in Day Increments	23
	(1) Simple Requests Response Time in Day Increments.....	23
	(2) Complex Requests Response Time in Day Increments	24
	(3) Requests Granted Expedited Processing Response Time in Day Increments.....	24
	D. Pending Requests - All Pending Perfected Requests	24
	E. Pending Requests - Ten Oldest Pending Perfected Requests	25
VIII.	Requests for Expedited Processing and Requests for Fee Waivers	26
	A. Requests for Expedited Processing	26
	B. Requests for Fee Waiver.....	26
IX.	FOIA Personnel and Costs.....	27
X.	Fees Collected for Processing Requests	27
XI.	FOIA Regulations (Including Fee Schedule).....	27
XII.	Backlogs, Consultations, and Comparisons.....	28
	A. Backlogs of FOIA Requests and Administrative Appeals.....	28
	B. Consultations on FOIA Requests - Received, Processed, and Pending Consultations.....	28
	C. Consultations on FOIA Requests - Ten Oldest Consultations Received from Other Agencies and Pending.....	29
	D. (1) Comparison of Numbers of Requests from Previous and Current Annual Report - Requests Received, Processed, and Backlogged	30
	(2) Comparison of Backlogged Requests from Previous and Current Annual Report.....	31
	E. (1) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report - Appeals Received, Processed, and	

Backlogged	31
(2) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report.....	31
XIII. New Annual Report Metrics – 2016 FOIA Improvement Act of 2016.....	32
A. Number of Time Subsection (c) Used	32
B. Number of (a)(2) Records Posted	32
 APPENDICES.....	 33
APPENDIX A: Composition of the Department of Homeland Security.....	33
APPENDIX B: Organization of the Department of Homeland Security Chart.....	36
APPENDIX C: Names, Addresses, and Contact Information For DHS FOIA Officers	37

I. Basic Information Regarding Report

1. Questions regarding this report may be directed to:

James V.M.L. Holzer
Acting Chief Privacy Officer and Chief FOIA Officer
Privacy Office
U.S. Department of Homeland Security
245 Murray Lane SW, Mail Stop 0655
Washington, DC 20528-0655
Phone: 202-343-1743; Fax: 202-343-4011

2. This report can be downloaded from the DHS FOIA website at <http://www.dhs.gov/foia-annual-reports>.

3. Requests for this report in paper form may also be directed to the Privacy Office, as listed above.

II. Making a FOIA Request¹

1. Names, addresses, and contact numbers for DHS FOIA Officers can be found on our website at <http://www.dhs.gov/foia-contact-information>.

2. Brief description of why requests may not be granted:

In response to a FOIA request, DHS may respond that that the requested records are exempt, in whole or in part, as well as that the requested record does not exist or cannot be located. For example, the Department frequently receives requests for law enforcement records, which are of specific interest to subjects of investigation, victims of crime, and the public at large. Depending on who is requesting the information (i.e. a first or third-party requester) the Department may invoke exemptions 6 and 7(C) (to prevent an unwarranted invasion of personal privacy) and/or exemption 7(E) (to protect against the disclosure of law enforcement techniques, procedures, and guidelines).

III. Acronyms, Definitions, and Exemptions

1. Agency-specific acronyms or other terms.

- a. CBP U.S. Customs and Border Protection
- b. CISA² Cybersecurity and Infrastructure Security Agency
- c. CISOMB Office of the Citizenship and Immigration Services Ombudsman
- d. CRCL Office for Civil Rights and Civil Liberties
- e. CWMD Countering Weapons of Mass Destruction Office³

¹ The Privacy Office (PRIV) processes and reports on FOIA requests for PRIV, the Office of the Secretary (including the Military Advisor's Office), and the following components: CISOMB, CISA, CRCL, CWMD, ESEC, FPS, MGMT, OBIM, OGC, OLA, OPA, OPE, OPS, PLCY, and S&T.

²On November 16, 2018, President Trump signed into law the Cybersecurity and Infrastructure Security Agency Act of 2018. This legislation elevated the mission of the former National Protection and Programs Directorate (NPPD) within DHS and established the Cybersecurity and Infrastructure Security Agency (CISA).

³ In December 2018, President Trump signed the Countering Weapons of Mass Destruction Act of 2018, authorizing the CWMD Office. The Act transferred the functions, personnel, budget authority, and assets of the Domestic

f. DHS	Department of Homeland Security
g. ESEC	Office of the Executive Secretary
h. FEMA	Federal Emergency Management Agency
i. FLETC	Federal Law Enforcement Training Centers
j. FPS	Federal Protective Service ⁴
k. I&A	Office of Intelligence and Analysis
l. ICE	U.S. Immigration and Customs Enforcement
m. MGMT	Management Directorate
n. MIL	Military Advisors Office
o. NCSC	National Cyber Security Center
p. OBIM	Office of Biometric Identity Management
q. OCP	Office of Community Partnerships
r. OGC	Office of the General Counsel
s. OIG	Office of Inspector General
t. OLA	Office of Legislative Affairs
u. OPA	Office of Public Affairs
v. OPE	Office of Partnership and Engagement
w. OPS	Office of Operations Coordination
x. PLCY	Office of Strategy, Policy, and Plans
y. PRIV	Privacy Office
z. S&T	Science and Technology Directorate
aa. TSA	Transportation Security Administration
bb. USCG	United States Coast Guard
cc. USCIS	U.S. Citizenship and Immigration Services
dd. USSS	United States Secret Service

2. Definition of terms, as used in this report.

- a. **Administrative Appeal** – A request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level. Under section 704 of the Administrative Procedures Act, the Department’s response to an administrative appeal is considered final agency action that is then appealable to a federal district court.
- b. **Average Number** – The number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8, determined by dividing 24 by 3.
- c. **Backlog** – The number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

Nuclear Detection Office (DNDO) and the Office of Health Affairs (OHA), with the exception of workforce health and medical support functions that were transferred from OHA to the DHS Management Directorate, to the CWMD Office, and abolished DNDO and OHA.

⁴ FPS is an operational component within the DHS Management Directorate that provides law enforcement and security services to approximately 9,000 federal facilities nationwide.

- d. **Component** – For agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in the Annual FOIA Report data for both the agency overall and for each principal Component of the agency.
- e. **Consultation** – The procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it provides its views on the record to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – A federal statute other than FOIA that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.⁵
- g. **FOIA Request** – A FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), an organization, or a particular topic of interest. Moreover, because requesters covered by the Privacy Act who seek records concerning themselves (i.e., “first-party” requesters) are afforded the benefit of the access provisions of both FOIA and the Privacy Act, the term “FOIA request” also includes any such “first-party” requests when an agency determines that it must search beyond its Privacy Act “systems of records” or when the agency applies a Privacy Act exemption and therefore looks to FOIA to afford the greatest possible access. DHS applies this same interpretation of the term “FOIA request” even to “first-party” requests from persons not covered by the Privacy Act, e.g., non-U.S. citizens, because DHS by policy provides such persons the ability to access their own records in DHS’s Privacy Act “mixed systems of records” as if they are subject to the Privacy Act’s access provisions, and DHS processes the requests under FOIA as well. Thus, all requests that require DHS to utilize FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** – An agency decision to disclose all records in full in response to FOIA request.
- i. **Full Denial** – An agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more

⁵ Pursuant to 5 U.S.C. § 552(b)(3) as amended by sec. 564 of Public Law 111-83, a statute enacted after October 28, 2009, can qualify as an Exemption 3 law only if it cites specifically to 5 U.S.C. § 552(b)(3).

of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

- j. **Median Number** – The middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** – A system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** – An agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** – A FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the volume and/or simplicity of records requested.
 - iii. **Complex Request** – A FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- l. **Partial Grant/Partial Denial** – An agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** – A pending request is one where the agency has not yet provided a response to the requester. A pending administrative appeal is where one where the agency has not taken final action in all respects.
- n. **Perfect Request** – A request for records that reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** – A processed request is one where the agency has provided a complete response to the requester's initial request. A processed administrative appeal is one where the agency has advised the requester of its final decision on the requester's appeal as to the handling of the initial request. The final decision on an administrative appeal is a final agency action under section 704 of the APA and is considered final agency action that is then appealable to a federal district court.
- p. **Range in Number of Days** – The lowest and highest number of days to process requests or administrative appeals.

- q. **Time Limits** – The time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a perfected FOIA request).⁶
3. Concise descriptions of FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information.
 - b. **Exemption 2:** internal agency rules and practices (personnel).
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law.
 - d. **Exemption 4:** trade secrets and other confidential or financial information obtained from a person.
 - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges, such as the deliberative process privilege, attorney work product privilege, and attorney client privilege.
 - f. **Exemption 6:** information that, if released, would be a clearly unwarranted invasion of personal privacy.
 - g. **Exemption 7:** law enforcement records where the disclosure would (A) interfere with enforcement proceedings, B) deprive a person of a fair trial or impartial adjudication, C) constitute an unwarranted invasion of personal privacy, D) identify a confidential source, E) disclose law enforcement techniques and procedures or guidelines for law enforcement investigations or prosecutions, or F) endanger the life or physical safety of any individual.
 - h. **Exemption 8:** information relating to the supervision of financial institutions.
 - i. **Exemption 9:** geological/geophysical information concerning wells.

⁶ Absent “unusual circumstances,” the FOIA provides that agencies should make a determination with respect to an appeal within twenty working-days of its receipt by the agency. 5 U.S.C. § 552(a)(6)(A)(ii).

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component ⁷		Total Number of Times Relied upon by DHS
5 U.S.C. app. 4 § 107(a)(2) (Ethics in Government Act of 1978)	Confidential financial disclosure report pertaining to certain government employees	<u>Meyerhoff v. EPA</u> , 958 F.2d 1498, 1500-02 (9th Cir. 1992); <u>Boyd v. Exec. Office for U.S. Attorneys</u> , 161 F. Supp. 3d 1, 7 (D.D.C. 2015); <u>Seife v. NIH</u> , 874 F. Supp. 2d 248, 254 (S.D.N.Y. 2012).	OIG	1	1
6 U.S.C. § 121 (d)	Intelligence sources and methods	N/A	I&A	170	173
			ICE	1	
			PRIV	2	
6 U.S.C. § 133(a)(1)	Protection of voluntarily shared critical infrastructure information	N/A	CISA	1	5
			I&A	2	
			PRIV	1	
			TSA	1	
6 USC § 1504(d)(3)(B)	A cyber threat indicator or defensive measure shared with the federal government under the Cybersecurity Information Sharing Act of 2015.	N/A	CISA	1	1
7 U.S.C. § 12(a)(1)	[D]ata and information that would separately disclose the business transactions or market positions of any person and trade secrets or names of customers, as well as certain other information concerning, or obtained in, the course of the Commission's investigation under the Commodity Exchange Act	<u>Hunt v. Commodity Futures Trading Comm'n</u> , 484 F. Supp. 47, 49 (D.D.C. 1979).	ICE	1	1

⁷ DHS Component(s) are referred to as "Component" for the remainder of this report.

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component ⁷		Total Number of Times Relied upon by DHS
8 U.S.C. § 1202(f) (Immigration and Nationality Act)	Certain records pertaining to the issuance or refusal of visas to enter the United States	<u>Medina-Hincapie v. Dep't of State</u> , 700 F.2d 737, 741-42 (D.C. Cir. 1983); <u>DeLaurentiis v. Haig</u> , 686 F.2d 192, 194 (3d Cir. 1982); <u>Assadi v. Dep't of State</u> , No. 12-1111, 2014 WL 4704840, at *6 (S.D.N.Y. Sept. 19, 2014); <u>Beltranena v. U.S. Dep't of State</u> , 821 F. Supp. 2d 167, 177-78 (D.D.C. 2011); <u>Badalamenti v. U.S. Dep't of State</u> , 899 F. Supp. 542, 547 (D. Kan. 1995); <u>Jan-Xin Zang v. FBI</u> , 756 F. Supp. 705, 711-12 (W.D.N.Y. 1991); <u>Smith v. DOJ</u> , No. 81-CV-813, 1983 U.S. Dist. LEXIS 10878, at *13-14 (N.D.N.Y. Dec. 13, 1983).	ICE PRIV USCIS	1 2,228 26,774	29,003
10 U.S.C. § 130b	Personally identifiable information pertaining to “any member of the armed forces assigned to an overseas unit, a sensitive unit, or a routinely deployable unit” and “any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit”	<u>Freedom Watch, Inc. v. NSA</u> , 197 F. Supp. 3d 165, 174 (D.D.C. 2016); <u>Hiken v. DOD</u> , 521 F. Supp. 2d 1047, 1062 (N.D. Cal. 2007); <u>O'Keefe v. DOD</u> , 463 F. Supp. 2d 317, 325 (E.D.N.Y. 2006); <u>Windel v. United States</u> , No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).	USCG	1	1
10 U.S.C. § 2305(g)	Certain contractor proposals	<u>Roman v. NSA</u> , Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	FEMA PRIV TSA USCG	1 1 1 4	7
16 U.S.C. § 470hh	Information pertaining to the nature and location of certain archaeological resources	<u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary <u>affirmance granted</u> , No.03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	CBP	14	14

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component ⁷		Total Number of Times Relied upon by DHS
18 U.S.C. §§ 2510, et seq. (Title III of the Omnibus Crime Control and Safe Streets Act)	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	<u>Payne v. DOJ</u> , No. 96-30840, slip op. at 5-6 (5th Cir. July 11, 1997); <u>Lam Lek Chong v. DEA</u> , 929 F.2d 729, 733 (D.C. Cir. 1991).	USSS	9	9
18 U.S.C. § 2252	[M]aterial involving the sexual exploitation of minors	N/A	USSS	2	2
18 U.S.C. § 3509(d) (Federal Victims' Protection and Rights Act)	Certain records containing identifying information pertaining to children involved in criminal proceedings	<u>Davis v. U.S. Postal Inspection Serv.</u> , 75 F. Supp. 3d 425, 430-31 (D.D.C. 2014).	ICE	6	6
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	<u>Church of Scientology v. IRS</u> , 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); <u>Elec. Priv. Info. Ctr. v. IRS</u> , 910 F.3d 1232 (D.C. Cir. 2018); <u>Leonard v. U.S. Dep't of Treasury</u> , 590 F. App'x 141, 143-44 (3d Cir. 2014) (per curiam); <u>Pac. Fisheries, Inc. v. IRS</u> , 395 F. App'x 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); <u>Tax Analysts v. IRS</u> , 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	CBP PRIV USCIS	13 1 5,690	5,704

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component ⁷		Total Number of Times Relied upon by DHS
31 U.S.C. § 5319 (Bank Secrecy Act)	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	Turner v. Dep't of Treasury, No. 15-00007, 2017 WL 1106030 at *7 (E.D. Cal. Mar. 23, 2017); Yunes v. DOJ, 263 F. Supp. 3d 82, 87 (D.D.C. 2017); Council on Am.-Islamic Rels., Cal. v. FBI, 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); Berger v. IRS, 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), aff'd on other grounds, 288 F. App'x 829 (3d Cir. 2008).	USCIS	64	69
USSS	5				
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	<u>Sinkfield v. HUD</u> , No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); <u>Margolin v. NASA</u> , No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); <u>Hornbostel v. U.S. Dep't of the Interior</u> , 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	CBP	9	28
FEMA	7				
USCIS	12				
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	<u>Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army</u> , No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	CBP	7	19
FEMA	8				
ICE	1				
PRIV	3				
49 U.S.C. § 114 (r) (formerly at 49 U.S.C. § 114 (s))	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	<u>Skurow v. DHS</u> , No. 11-1296, 2012 WL 4380895, at *9-10 (D.D.C. Sept. 26, 2012); <u>Gordon v. FBI</u> , 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	CPB	4	10,010
ICE	6,081				
PRIV	2				
TSA	129				
USCG	2				
USCIS	3,801				
USSS	22				

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component ⁷		Total Number of Times Relied upon by DHS
49 U.S.C. 1114(c) (Transportation Safety Act of 1974)	Certain “cockpit voice or video recorder recording[s] or transcript[s] of communications by and between flight crew members and ground stations pertaining to [] incident[s] investigated by the [National Transportation Safety] Board”	<u>McGilvra v. NTSB</u> , 840 F. Supp. 100, 102 (D. Colo. 1993).	ICE PRIV USCG	6 1 1	8
50 U.S.C. § 4565(c) (formerly at 50 U.S.C. app. 2170(c))	Information or documentary material filed with the Committee on Foreign Investment in the United States	N/A	I&A PRIV	5 1	6
50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	<u>CIA v. Sims</u> , 471 U.S. 159, 167 (1985).	I&A PRIV TSA USCG	156 2 1 1	160
50 U.S.C. § 3507 (formerly at 50 U.S.C. § 403g)	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: “the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency”	Assassination Archives & Rsch. Ctr. v. CIA, No. 18-5280, 2019 WL 691517 (D.C. Cir. Feb. 15, 2019) (per curiam); <u>Berman v. CIA</u> , 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); <u>Makky v. Chertoff</u> , 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff’d on other grounds, 541 F. 3d 205 (3d Cir. 2008).	TSA USSS	1 3	4
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings	<u>Sussman v. USMS</u> , 494 F.3d 1106, 1113 (D.C. Cir. 2007); <u>Sorin v. DOJ</u> , No. 18-99, 2018 WL 6431027 (2d Cir. Dec. 6, 2018) (per curiam); <u>Widi v. McNeil</u> , No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); <u>Durham v. U.S. Atty. Gen.</u> , No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); <u>Cozen O’Connor v. U.S. Dep’t of Treasury</u> , 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	ICE USCIS	2 6	8

V. FOIA Requests

A. Received, Processed, and Pending FOIA Requests⁸

⁸ After reviewing their databases, the following components adjusted the number of requests pending as of the start

Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
CBP	18,146	80,366	90,543	7,969
CISA	172	177	221	128
FEMA	414	1,110	1,031	493
FLETC	15	335	338	12
FPS ⁹	2	238	221	19
I&A	26	346	337	35
ICE	2,818	90,304 ¹⁰	80,275	12,847
OIG	152	306	241	217
PRIV ¹¹	6,274	24,121 ¹²	23,904	6,491
TSA	944	695	918	721
USCG	1,610	2,705	2,248	2,067
USCIS	32,225	195,930	191,114	37,041
USSS	576	1,038	1,232	382
AGENCY OVERALL	63,374	397,671	392,623	68,422

B. (1) Disposition of FOIA Requests – All Processed Requests

Component	No. of Full Grants	No. of Partial Grants/Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									Total
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	
CBP	15,033	26,066	726	33,793	1,847	858	2	1,583	9,065	772	798	0	90,543
CISA	12	46	4	26	55	12	0	47	2	1	-	16	221
FEMA	183	294	15	105	229	136	2	15	28	8	13	3	1,031
FLETC	8	33	2	15	10	6	0	227	8	27	2	0	338
FPS	5	110	8	23	5	3	1	51	4	2	7	2	221
I&A	3	49	196	27	8	1	0	9	1	37	3	3	337
ICE	2,417	71,014	1,815	3,228	18	30	0	361	1,051	14	122	205	80,275
OIG	14	115	19	35	37	5	1	0	2	1	12	0	241
PRIV	272	14,508	53	6,504	317	35	9	341	1,617	109	96	43	23,904
TSA	165	277	51	115	54	144	0	48	36	3	9	16	918
USCG	1,148	179	62	371	57	281	1	2	5	15	112	15	2,248
USCIS	16,474	104,760	6,333	32,743	844	181	0	0	13,304	661	15,814	0	191,114

of the Fiscal Year: CBP, CISA, FEMA, FLETC, I&A, ICE, OIG, PRIV, TSA, USCG, USCIS, USSS.

⁹ FPS requests were previously included in CISA.

¹⁰ The volume of referrals that ICE received from USCIS during the reporting period exceeded the amount of staff required to enter all requests into its FOIA tracking system. Therefore, ICE did not account for 25,000 referrals which will be accounted for in next year's Annual FOIA Report. ICE has taken steps to address these referrals.

¹¹ S&T requests are included in PRIV.

¹² PRIV was not able to login approximately 20,000 requests for OBIM records sent through the physical mail due to safety measures taken to protect workforce and community safety during the global pandemic. These requests will be accounted for in next year's Annual FOIA Report. PRIV has taken steps to address these requests.

Component	No. of Full Grants	No. of Partial Grants/ Partial Denials	No. of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									
				No Records	All Records Referred	Request Withdrawn	Fee-Related Reason	Not Reasonably Described	Improper FOIA Request	Not Agency Record	Duplicate Request	Other	Total
USSS	30	464	50	255	17	18	0	17	297	15	42	27	1,232
AGENCY OVERALL	35,764	217,915	9,334	77,240	3,498	1,710	16	2,701	25,420	1,665	17,030	330	392,623

B. (2) Disposition of FOIA Requests – “Other” Reasons for “Full Denials Based on Reasons Other than Exemptions” from Section V, B(1) Chart

Component	Description	No. of Times Used	Total
CBP	N/A	0	0
CISA	Unable to locate requester Aggregated Request in Litigation	12 2 2	16
FEMA	Aggregated Unable to Locate Requester	1 2	3
FLETC	N/A	0	0
FPS	Unable to Locate Requester	2	2
I&A	Request in Litigation	3	3
ICE	Request in litigation Record Referred Non-Responsive Unable to Locate Requester Aggregated	37 78 3 87	205

Component	Description	No. of Times Used	Total
OIG	N/A	0	0
PRIV	Request in Litigation Aggregated Unable to Locate Requester	27 15 1	43
TSA	Unable to Locate Requester	16	16
USCG	Aggregated Unable to Locate Requester	9 6	15
USCIS	N/A	0	0
USSS	Request in Litigation Records Referred Non-responsive Unable to Locate Requester	8 13 6	27
AGENCY OVERALL			330

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	3	348	46	316	233	26,067	32	102	25,954	4	25,763	11	2	0
CISA	0	0	2	1	24	44	0	1	11	0	21	4	0	0
FEMA	2	0	16	107	53	266	2	2	19	1	17	0	0	0
FLETC	0	2	0	8	4	36	0	0	3	0	2	1	0	0
FPS	0	0	0	0	12	114	8	0	114	0	99	8	0	0
I&A	0	0	333	0	14	104	2	1	8	5	114	0	0	0
ICE	2	35	6,009	79	4,401	70,720	139	129	70,699	0	65,838	138	0	0
OIG	0	0	1	3	26	110	11	0	100	4	15	1	0	0
PRIV	1	0	2,242	81	227	13,153	15	7	11,494	6	13,872	11	0	0

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
TSA	1	23	132	17	58	276	13	0	62	0	2	0	0	0
USCG	1	7	8	6	41	168	48	0	121	9	14	2	0	0
USCIS	0	75	36,035	138	30,318	68,123	11	0	91,000	16	94,979	64	0	0
USSS	3	1	34	31	93	405	32	6	405	45	338	4	0	0
AGENCY OVERALL	13	491	44,950	787	35,504	179,586	313	248	199,990	90	201,074	244	2	0

VI. Administrative Appeals of Initial Determinations of FOIA Requests
A. Received, Processed, and Pending Administrative Appeals¹³

Component	No. of Appeals Pending as of Start of Fiscal Year	No. of Appeals Received in Fiscal Year	No. of Appeals Processed in Fiscal Year	No. of Appeals Pending as of End of Fiscal Year
CBP	129	3,601	3,666	44
FEMA	6	24	17	13
FLETC	2	1	1	2
ICE	203	517	498	222
OIG	7	12	9	10
PRIV	83	183	205	61
TSA	1	25	20	6
USCG	19	29	7	41
USCIS	307	2,156	2,393	70
USSS	7	57	35	29
AGENCY OVERALL	764	6,605	6,851	498

B. Disposition of Administrative Appeals – All Processed Appeals

Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
CBP	931	619	1,702	414	3,666
FEMA	3	3	6	5	17
FLETC	0	0	0	1	1
ICE	310	105	41	42	498
OIG	4	2	2	1	9
PRIV	129	6	23	47	205

¹³ After reviewing its database, CBP, ICE, PRIV, and USCG adjusted the number of appeals pending as of the start of the Fiscal Year.

Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	Total
TSA	9	2	1	8	20
USCG	0	0	5	2	7
USCIS	319	1,718	37	319	2,393
USSS	9	6	10	10	35
AGENCY OVERALL	1,712	2,461	1,827	851	6,851

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
CBP	0	20	24	11	22	1,990	9	122	1,980	3	1,817	10	2	0
FEMA	0	0	0	0	1	5	0	0	2	1	0	0	0	0
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ICE	0	2	6	2	24	214	7	0	214	0	184	1	0	0
OIG	0	0	0	0	0	1	0	0	1	0	0	0	0	0
PRIV	0	0	0	0	1	1	0	0	0	0	0	0	0	0
TSA	1	0	3	0	1	4	0	0	1	0	0	0	0	0
USCG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USCIS	0	0	332	0	395	685	1	0	994	0	1,015	0	0	0
USSS	0	0	0	0	1	8	1	0	8	0	9	1	0	0
AGENCY OVERALL	1	22	365	13	445	2,906	18	122	3,198	4	3,025	12	2	0

C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial for Expedited Processing	Other *Explain in chart C. (3), below
CBP	19	5	25	2	0	275	18	63	5	2	0
FEMA	1	0	2	0	0	1	0	0	0	0	1
FLETC	0	0	1	0	0	0	0	0	0	0	0
ICE	6	4	2	0	4	1	6	2	12	3	2
OIG	0	0	0	0	0	0	0	0	0	0	1
PRIV	1	0	0	0	0	0	0	0	1	0	45
TSA	1	0	0	0	0	0	0	0	1	0	6
USCG	0	2	0	0	0	0	0	0	0	0	0
USCIS	0	0	2	0	0	66	108	143	0	0	0
USSS	2	0	0	0	0	5	2	1	0	0	0
AGENCY OVERALL	30	11	32	2	4	348	134	209	14	5	55

**C. (3) Reasons for Denial on Appeal – “Other” Reasons from Section VI, C (2)
Chart**

Component	Description	No. of Times Used	Total
CBP	N/A	0	0
FEMA	Improper Appeal	1	1
FLETC	N/A	0	0
ICE	Improper Appeal	2	2
OIG	No Component Response to Adjudicate	1	1
PRIV	Adequacy of Search No Component Response to Adjudicate Improper Appeal	1 40 4	45
TSA	No Component Response to Adjudicate Improper Appeal	1 5	6
USCG	N/A	0	0
USCIS	N/A	0	0
USSS	N/A	0	0
AGENCY OVERALL			55

C. (4) Response Times for Administrative Appeals

Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
CBP	7	12.8	1	897
FEMA	114	116	5	285
FLETC	389	389	389	389
ICE	20	50.1	1	1,108
OIG	164	157	10	356
PRIV	77	94	10	491
TSA	27.5	49.2	9	317
USCG	35	121.3	1	671
USCIS	6	13	1	152

Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
USSS	42	56.9	7	240
AGENCY OVERALL	10	27.3	1	1,108

C. (5) Ten Oldest Pending Administrative Appeals

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
CBP	Date of Receipt	7/20/2020	3/5/2020	10/4/2019	7/26/2019	5/21/2019	3/7/2019	12/7/2018	12/7/2018	10/2/2017	9/15/2017
	Number of Days Pending	51	146	247	296	342	395	454	454	749	760
FEMA	Date of Receipt	8/18/2020	7/15/2020	2/15/2020	6/29/2020	6/22/2020	5/14/2020	3/26/2020	2/5/2020	8/12/2020	6/11/2019
	Number of Days Pending	30	54	54	65	70	96	131	166	287	330
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1/6/2020	6/25/2019
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	186	317
ICE	Date of Receipt	10/23/2017	10/5/2017	9/25/2017	8/24/2017	8/24/2017	6/9/2017	4/28/2017	9/29/2016	6/1/2016	2/24/2016
	Number of Days Pending	768	780	788	810	810	864	894	1,045	1,131	1,201
OIG	Date of Receipt	N/A	7/29/2020	7/27/2020	7/27/2020	7/7/2020	5/12/2020	12/16/2019	9/25/2019	9/25/2019	5/6/2019
	Number of Days Pending	N/A	44	46	46	60	98	200	255	255	354
PRIV	Date of Receipt	12/3/2019	11/26/2019	11/22/2019	11/22/2019	11/22/2019	10/16/2019	10/16/2019	10/16/2019	9/24/2019	7/11/2016
	Number of Days Pending	209	213	215	215	229	241	242	242	257	1,059
TSA	Date of Receipt	N/A	N/A	N/A	N/A	9/18/2020	9/17/2020	6/2/2020	2/25/20	10/17/19	10/17/2019
	Number of Days Pending	N/A	N/A	N/A	N/A	8	9	84	153	240	240
USCG	Date of Receipt	2/7/2019	1/29/2019	1/29/2019	1/28/2019	12/12/2018	10/15/2018	10/1/2018	5/21/2018	1/10/2018	1/4/2017
	Number of Days Pending	415	422	422	423	452	491	500	592	683	938
USCIS	Date of Receipt	9/14/2020	9/9/2020	9/1/2020	8/31/2020	8/31/2020	8/26/2020	8/26/2020	8/26/2020	8/21/2020	8/20/2020
	Number of Days Pending	13	16	21	22	22	25	25	25	28	29
USSS	Date of Receipt	5/22/2020	5/22/2020	5/22/2020	5/21/2020	4/9/2020	4/2/2020	3/25/2020	2/4/2020	1/15/2020	11/21/2019
	Number of Days Pending	90	90	90	91	121	126	132	167	180	216
AGENCY OVERALL	Date of Receipt	9/25/2017	8/24/2017	8/24/2017	6/9/2017	4/28/2017	1/4/2017	9/29/2016	7/11/2016	6/1/2016	2/24/2016
	Number of Days Pending	788	810	810	864	894	938	1,045	1,059	1,131	1,201

VII. FOIA Requests: Response Time for Processed and Pending Requests

A. Processed Requests – Response Time for All Processed Perfected Requests

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	27	49.5	1	1,183	46	161.8	1	1,983	84	179.4	1	903
CISA	<1	2	<1	14	351	375	24	1,102	346	325	5	613
FEMA	3	5	<1	20	112	164	21	780	34	156	7	678
FLETC	35	35	1	251	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	7	7	<1	20	27	33	21	81	16	23	0	52
I&A	3	4	<1	17	18	62.3	0	1,014	82.5	109.7	21	349
ICE	5	7	1	20	30	50	21	879	14	36	1	470
OIG	8	9	<1	20	108	207	21	1,133	151	151	151	151
PRIV	11	11	<1	20	74	83	21	1,159	63	207	<1	833
TSA	8	43	<1	595	247	450.8	11	1,551	5.5	119.8	3	465
USCG	8	8.3	<1	20	46	104.1	<1	1,860	21	24.3	21	31
USCIS	43	58	1	700	51	68	1	908	34	37	3	114
USSS	8	8.8	<1	20	165.5	268.2	17	1,632	60	55.6	19	92
AGENCY OVERALL	16	34.1	<1	1,183	51	73.8	<1	1,983	26	103.8	<1	903

B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

Component	Simple				Complex				Expedited			
	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days	Median No. of Days	Average No. of Days	Lowest No. of Days	Highest No. of Days
CBP	43	60.2	1	1,060	41	149.5	1	1,972	105	199.1	1	903
CISA	11	10	5	13	340	385	28	968	N/A	N/A	N/A	N/A
FEMA	10	10	<1	20	117	171	21	780	95	181	7	678
FLETC	35	35	1	251	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	7	7	<1	18	32	37	21	81	33	30	1	52
I&A	3	3.2	1	5	47	101.4	3	1,014	349	349	349	349
ICE	5	7	1	20	30	47	21	787	14	38	1	470
OIG	8	9	3	19	124	234	26	1,133	151	151	151	151
PRIV	15	14	<1	20	79	90	21	1,159	115	301	15	833
TSA	12.5	59.2	<1	492	210	515.5	11	1,551	465	465	465	465
USCG	10	9.5	<1	20	44	95.3	<1	1,802	26	26	21	31
USCIS	43	58	1	700	51	68	1	908	34	37	3	114
USSS	8	9.5	1	20	158.5	263	21	1,632	81	81	81	81
AGENCY OVERALL	16	33.6	<1	1,060	51	70.8	<1	1,972	23	105.2	1	903

C. Processed Requests – Response Time in Day Increments

(1) Simple Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	30593	17096	9617	6520	4583	2946	1634	1145	799	760	1486	448	298	77,925
CISA	75	0	0	0	0	0	0	0	0	0	0	0	0	75
FEMA	442	0	0	0	0	0	0	0	0	0	0	0	0	442
FLETC	63	21	7	6	2	1	2	0	0	1	1	0	0	104
FPS	140	0	0	0	0	0	0	0	0	0	0	0	0	140
I&A	195	0	0	0	0	0	0	0	0	0	0	0	0	195
ICE	61,800	0	0	0	0	0	0	0	0	0	0	0	0	61,800
OIG	82	0	0	0	0	0	0	0	0	0	0	0	0	82
PRIV	1,138	0	0	0	0	0	0	0	0	0	0	0	0	1,138
TSA	205	3	0	0	0	0	0	1	0	0	6	6	9	230
USCG	529	0	0	0	0	0	0	0	0	0	0	0	0	529
USCIS	13,950	8,193	5,817	3,571	1,037	616	577	341	285	230	516	157	52	35,342
USSS	217	0	0	0	0	0	0	0	0	0	0	0	0	219
AGENCY OVERALL	109,429	25,313	15,441	10,097	5,622	3,563	2,213	1,487	1,084	991	2,009	611	359	178,219

(2) Complex Requests

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	592	318	121	83	42	45	40	33	35	33	141	116	287	1,886
CISA	0	6	8	4	3	3	2	5	1	1	7	9	40	89
FEMA	0	92	66	43	48	40	32	20	23	24	61	38	50	537
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FPS	0	15	2	0	1	0	0	0	0	0	0	0	0	18
I&A	70	22	9	5	5	1	0	2	0	0	4	3	5	126
ICE	0	12,108	2,355	694	315	204	104	90	68	53	458	170	129	16,748
OIG	0	14	14	31	15	10	11	4	4	3	13	9	27	155
PRIV	0	1,787	4,399	6,690	4,849	489	420	331	651	330	519	30	132	20,627
TSA	15	71	28	36	36	30	21	12	12	11	52	28	248	600
USCG	361	416	207	119	86	65	86	79	55	47	101	27	59	1,708
USCIS	41,144	25,331	38,819	15,266	5,539	2,934	2,930	2,377	2,185	1,635	2,890	457	260	141,767
USSS	1	109	64	55	33	30	32	21	17	16	79	41	198	696
AGENCY OVERALL	42,183	40,289	46,092	23,026	10,972	3,851	3,678	2,974	3,051	2,153	4,325	928	1,435	184,957

(3) Requests Granted Expedited Processing

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
CBP	20	7	6	3	3	7	3	2	2	0	4	7	9	73
CISA	2	0	0	1	0	0	0	0	0	0	0	1	3	7

(3) Requests Granted Expedited Processing

Component	1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	Total
FEMA	2	2	0	0	0	0	0	1	0	1	0	0	1	7
FLETC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FPS	4	0	2	0	0	0	0	0	0	0	0	0	0	6
I&A	0	2	0	1	2	0	0	0	0	0	0	1	0	6
ICE	200	57	15	3	5	2	1	1	0	2	15	1	2	304
OIG	0	0	0	0	0	0	0	1	0	0	0	0	0	1
PRIV	34	17	28	14	12	7	8	0	1	0	1	1	42	165
TSA	3	0	0	0	0	0	0	0	0	0	0	0	1	4
USCG	0	3	0	0	0	0	0	0	0	0	0	0	0	3
USCIS	17	9	6	3	2	1	0	0	0	0	0	0	0	38
USSS	1	1	1	0	2	0	0	0	0	0	0	0	0	5
AGENCY OVERALL	283	98	58	25	26	17	12	5	3	3	20	11	58	619

D. Pending Requests – All Pending Perfected Requests

Component	Simple			Complex			Expedited		
	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days	Number Pending	Median No. of Days	Average No. of Days
CBP	6,942	12	44.8	940	182	253.5	86	303	286
CISA	4	6	8	118	540	525	5	283	327
FEMA	61	11	10	368	191	238	3	106	282
FLETC	12	35.5	48.5	0	0	0	0	0	0
FPS	4	11	10	7	40	37	5	32	36
I&A	3	10	11.3	27	42	42.6	0	0	0
ICE	5,485	8	8	7,077	47	117	46	48	90
OIG	21	11	11	196	172	246	0	0	0
PRIV	510	14	14	5,795	140	134	152	93	114
TSA	22	9	9.2	683	411	445.6	0	0	0
USCG	246	9	11.9	1,784	317	446.1	2	731.5	731.5
USCIS	4,132	83	97	24,236	40	70	8	104	155
USSS	32	15	12.8	295	212	267.8	17	82	69.9
AGENCY OVERALL	17,472	11	37.1	41,526	53	129.7	324	93	164.9

E. Pending Requests – Ten Oldest Pending Perfected Requests

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	7/8/2016	7/6/2016	5/4/2016	4/18/2016	3/29/2016	3/2/2016	2/22/2016	2/19/2016	2/1/2016	4/13/2015
	Number of Days Pending	1,062	1,064	1,107	1,119	1,133	1,152	1,159	1,160	1,173	1,374
CISA	Date of Receipt	5/10/2017	5/9/2017	2/14/2017	2/10/2017	2/3/2017	1/18/2017	12/5/2016	12/4/2016	11/8/2016	5/26/2016
	Number of Days Pending	850	851	910	912	917	929	958	959	975	1,089

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FEMA	Date of Receipt	11/17/2017	11/6/2017	11/6/2017	11/2/2017	10/31/2017	10/19/2017	9/29/2017	9/14/2017	9/5/2017	3/17/2017
	Number of Days Pending	694	726	726	728	729	738	751	762	769	888
FLETC	Date of Receipt	9/22/2020	9/22/2020	9/17/2020	9/9/2020	8/28/2020	7/24/2020	7/20/2020	7/2/2020	6/25/2020	1/8/2020
	Number of Days Pending	7	7	9	15	23	48	52	65	69	190
FPS	Date of Receipt	8/31/2020	8/27/2020	8/21/2020	8/17/2020	8/12/2020	8/7/2020	8/3/2020	7/31/2020	7/30/2020	7/28/2020
	Number of Days Pending	23	25	29	33	36	39	43	44	45	47
I&A	Date of Receipt	7/16/2020	6/22/2020	6/22/2020	7/24/2020	7/24/2020	7/24/2020	7/24/2020	6/16/2020	6/1/2020	5/15/2020
	Number of Days Pending	47	47	48	49	49	49	49	50	53	70
ICE	Date of Receipt	10/1/2017	9/19/2017	8/31/2017	8/30/2017	8/2/2017	7/12/2017	7/7/2017	4/27/2017	4/12/2017	12/12/2016
	Number of Days Pending	783	792	805	806	826	841	844	895	906	993
OIG	Date of Receipt	2/9/2018	1/24/2018	1/24/2018	1/12/2018	1/2/2018	12/7/2017	6/21/2017	6/14/2017	4/20/2017	9/19/2016
	Number of Days Pending	662	674	674	681	689	705	821	826	864	1,010
PRIV	Date of Receipt	8/24/2017	8/17/2017	8/9/2017	7/12/2017	7/3/2017	6/23/2017	6/13/2017	4/25/2017	4/4/2017	3/20/2017
	Number of Days Pending	779	785	787	807	813	819	827	861	876	887
TSA	Date of Receipt	6/1/2016	4/14/2016	3/28/2016	3/24/2016	3/3/2016	2/5/2016	1/15/2016	1/6/2016	12/10/2015	11/3/2015
	Number of Days Pending	1,086	1,119	1,132	1,134	1,149	1,167	1,181	1,188	1,205	1,230
USCG	Date of Receipt	3/31/2014	3/27/2014	3/7/2014	3/4/2014	2/12/2014	1/30/2014	11/13/2013	10/31/2013	10/29/2013	10/29/2013
	Number of Days Pending	1,626	1,629	1,634	1,651	1,651	1,672	1,724	1,732	1,734	1,734
USCIS	Date of Receipt	8/14/2017	8/7/2017	8/2/2017	7/17/2017	7/12/2017	7/5/2017	6/29/2017	6/28/2019	6/13/2017	5/30/2017
	Number of Days Pending	784	789	792	804	807	812	815	816	827	837
USSS	Date of Receipt	9/19/2017	7/18/2017	7/18/2017	7/18/2017	6/26/2017	6/26/2017	5/17/2017	2/13/2017	2/13/2017	1/19/2017
	Number of Days Pending	761	805	805	805	820	820	847	913	913	930
AGENCY OVERALL	Date of Receipt	3/31/2014	3/27/2014	3/7/2014	3/4/2014	2/12/2014	1/30/2014	11/13/2013	10/31/2013	10/29/2013	10/29/2013
	Number of Days Pending	1,626	1,629	1,634	1,651	1,651	1,672	1,724	1,732	1,734	1,734

VIII. Requests for Expedited Processing and Requests for Fee Waivers

A. Requests for Expedited Processing

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
CBP	78	4,000	16	57.7	1,519
CISA	4	9	1	2	13
FEMA	9	39	1	2	48
FLETC	0	0	N/A	N/A	N/A
FPS	13	10	1	3	20
I&A	9	0	1	40	8
ICE	201	51	1	4	38
OIG	0	14	23	60	4

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated within 10 Calendar Days
PRIV	248	115	1	9	302
TSA	7	35	5	34.7	31
USCG	4	1	5	9.8	3
USCIS	32	6,366	4	23	4,144
USSS	22	17	1	9	35
AGENCY OVERALL	627	1,0657	7	34.7	6,165

B. Requests for Fee Waiver

Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
CBP	278	950	<1	<1
CISA	27	0	1	3
FEMA	46	0	1	5
FLETC	0	0	N/A	N/A
FPS	26	0	1	2
I&A	9	0	2	57.9
ICE	126	0	3	5
OIG	7	0	97	115
PRIV	480	0	1	8
TSA	33	0	22	58.2
USCG	1	0	13	13
USCIS	34	135	71	96
USSS	20	0	86.5	169.5
AGENCY OVERALL	1,087	1,085	1	20.6

IX. FOIA Personnel and Costs

Component	Personnel			Costs		
	Number of "Full Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff" (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
CBP	65	18.33	83.33	\$4,632,715.20	\$67,500.00	4,700,215.20
CISA	0	1	1	\$1,142,133.11	\$72,902.11	\$1,215,035.22
FEMA	15	10.12	25.12	\$1,580,776.14	\$8,500.00	\$1,589,276.14
FLETC	1	.4	1.4	\$383,501.39	\$0.00	\$383,501.39
FPS	1	0	1	\$100,727.00	\$0.00	\$100,727.00

Component	Personnel			Costs		
	Number of "Full Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff" (Col. 1 + Col. 2)	Processing Costs	Litigation - Related Costs	Total Costs
I&A	2	2	4	\$332,006.50	\$4,907.50	\$336,914.00
ICE	34	23	57	\$9,426,255.83	\$2,053,203.33	\$11,479,459.16
OIG	3	1.5	5	\$1,000,000.00	\$44,527.00	\$1,044,527.00
PRIV	14	39	53	\$9,809,748.21	\$7,000.00	\$9,816,748.21
TSA	10	3	13	\$1,531,894.50	\$166,414.00	\$1,698,308.50
USCG	6	21.5	27.5	\$2,427,142.37	\$3,736.27	\$2,430,878.64
USCIS	237	90	227	\$33,476,698.54	\$1,317,603.00	\$34,794,301.54
USSS	12	4	16	\$1,631,838.40	\$209,520.36	\$1,841,358.76
AGENCY OVERALL	398	213.45	611.45	\$66,991,208.80	\$3,955,813.57	\$70,947,022.37

X. Fees Collected for Processing Requests

Component	Total Amount of Fees Collected	Percentage of Total Costs
CBP	0	0
CISA	0	0
FEMA	0	0
FLETC	0	0
FPS	0	0
I&A	0	0
ICE	0	0
OIG	0	0
PRIV	0	0
TSA	0	0
USCG	\$10,493.56	0.43%
USCIS	\$0	0
USSS	\$0	0
AGENCY OVERALL	\$10,493.56	0

XI. FOIA Regulations – The Department of Homeland Security FOIA Implementing Regulations are codified at 6 C.F.R. Part 5, dated December 22, 2016, available at http://www.dhs.gov/xlibrary/assets/FOIA_FedReg_Notice.pdf. This is the final rule which established procedures for the public to obtain information from the DHS under the Freedom of Information Act and the Privacy Act.

A. Number of Times Subsection (c) Used¹⁴

Agency/Component	Number of Times Subsection (c) Used
CBP	0

¹⁴ 5 U.S.C. § 552(c) provides special protection for three categories of particularly sensitive law enforcement records. For these three specifically defined categories of records, federal law enforcement agencies "may treat the records as not subject to the requirements of [the FOIA]."

Agency/Component	Number of Times Subsection (c) Used
CISA	0
FEMA	0
FLETC	0
FPS	0
I&A	0
ICE	0
OIG	0
PRIV	0
TSA	0
USCG	0
USCIS	0
USSS	0
AGENCY OVERALL	0

B. Number of Subsection (a)(2) Postings¹⁵

Agency/Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
CBP	0	N/A
CISA	789	N/A
FEMA	0	N/A
FLETC	0	N/A
FPS	0	N/A
I&A	0	N/A
ICE	1,725	N/A
OIG	0	N/A
PRIV	3,189	N/A
TSA	54,624	N/A
USCG	231	N/A
USCIS	10,783	N/A
USSS	0	N/A
AGENCY OVERALL	71,341	N/A

¹⁵ 5 U.S.C. § 552(a)(2) requires agencies to post specific categories of records, including released records that have been requested three times.

XII. Backlogs, Consultations, and Comparisons

A. Backlogs of FOIA Requests and Administrative Appeals

Component	Number of Backlogged Requests as of the End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
CBP	1,729	10
CISA	123	N/A
FEMA	340	13
FLETC	12	2
FPS	9	N/A
I&A	25	N/A
ICE	5,308	206
OIG	187	9
PRIV	5,752	42
TSA	558	4
USCG	1,671	38
USCIS	20,344	1
USSS	292	29
AGENCY OVERALL	36,350	354

B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations¹⁶

Component	Number of Consultations Received from Other Agencies that Were Pending at Your Agency as of Start of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that Were Processed by Your Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were Pending at Your Agency as of the End of the Fiscal Year
CBP	73	82	116	39
CISA	11	7	18	0
FEMA	10	52	36	26
FLETC	0	4	4	0
FPS	0	9	9	0
I&A	14	9	10	13
ICE	143	83	70	156
OIG	0	23	20	3
PRIV	39	74	74	39
TSA	4	47	45	6
USCG	46	27	11	62
USCIS	44	75	102	17
USSS	46	52	70	28
AGENCY OVERALL	430	544	585	389

¹⁶ After reviewing their databases, the following components adjusted the number of consultations pending as of the start of the Fiscal Year: CBP, CISA, FEMA, I&A, ICE, PRIV, TSA, USCIS, and USSS.

C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending

Component	Sub-Row Heading	10th	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
CBP	Date of Receipt	5/16/2018	4/16/2018	4/16/2018	3/16/2018	2/13/2018	1/8/2018	1/5/2018	10/11/2017	8/15/2017	6/30/2016
	Number of Days Pending	594	616	616	637	659	684	685	743	782	1,064
CISA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FEMA	Date of Receipt	5/21/2020	5/14/2020	2/20/2020	11/28/2019	11/28/2019	11/28/2019	11/15/2019	10/17/2019	8/22/2019	5/31/2019
	Number of Days Pending	92	97	157	212	212	212	221	241	279	337
FLETC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FPS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
I&A	Date of Receipt	3/18/2020	12/5/2019	10/15/2019	8/21/2019	8/20/2019	8/12/2019	8/9/2019	5/15/2019	1/8/2019	6/27/2018
	Number of Days Pending	138	208	243	280	281	287	288	348	437	567
ICE	Date of Receipt	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	5/15/2017	4/16/2017
	Number of Days Pending	848	848	848	848	848	848	848	848	848	868
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	7/22/2020	5/22/2020	10/22/2019
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	49	90	237
PRIV	Date of Receipt	2/14/2017	2/1/2017	1/6/2017	1/5/2017	1/5/2017	11/22/2016	11/3/2016	10/26/2016	10/11/2016	9/12/2016
	Number of Days Pending	910	919	936	937	937	966	978	984	995	1,015
TSA	Date of Receipt	N/A	N/A	N/A	N/A	7/17/2020	7/16/2020	7/22/2020	6/22/2020	5/18/2020	5/6/2020
	Number of Days Pending	N/A	N/A	N/A	N/A	52	53	59	70	94	102
USCG	Date of Receipt	6/7/2016	5/5/2016	5/3/2016	9/17/2015	8/20/2015	5/28/2015	4/3/2015	1/13/2015	1/13/2015	6/20/2013
	Number of Days Pending	1,082	1,104	1,106	1,262	1,281	1,340	1,378	1,434	1,434	1,813
USCIS	Date of Receipt	7/30/2020	7/27/2020	7/15/2020	6/3/2020	3/20/2020	3/11/2020	1/8/2020	7/5/2019	5/1/2019	5/4/2017
	Number of Days Pending	44	47	55	84	136	143	187	313	358	855
USSS	Date of Receipt	9/13/2018	9/13/2018	8/5/2018	3/7/2018	3/7/2018	3/7/2018	4/27/2017	4/27/2017	12/22/2016	12/14/2015
	Number of Days Pending	514	514	534	666	666	666	861	861	947	1,205
AGENCY OVERALL	Date of Receipt	6/7/2016	5/5/2016	5/3/2016	9/17/2015	8/20/2015	5/28/2015	4/3/2015	1/13/2015	1/13/2015	6/20/2013
	Number of Days Pending	1,082	1,104	1,106	1,262	1,281	1,340	1,378	1,434	1,434	1,813

(D). (1) Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received, Processed, and Backlogged

Component	Number of Requests Received		Number of Requests Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	86,133	80,366	88,230	90,543
CISA	353 ¹⁷	177	344 ¹⁸	221
FEMA	910	1,110	792	1,031
FLETC	131	335	130	338
FPS ¹⁹	--	238	--	221
I&A	255	346	243	337
ICE	64,231	90,304	66,029	80,275
OIG	331	306	371	241
PRIV ²⁰	43,130	24,121	43,188	23,904
TSA	796	695	815	918
USCG	2,548	2,705	2,558	2,248
USCIS	200,174	195,930	225,075	191,114
USSS	1,253	1,038	2,023	1,232
AGENCY OVERALL	400,245	397,671	429,798	392,623

D. (2) Comparison of Backlogged Requests from Previous and Current Annual Report

Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
CBP	10,466	1,729
CISA	157	123
FEMA	317	340
FLETC	6	12
FPS ²¹	-	9
I&A	18	25
ICE	1,493	5,308
OIG	132	187
PRIV ²²	1,399	5,752
TSA	834	558
USCG	1,379	1,671

¹⁷ OBIM requests were incorporated with PRIV starting in FY 2019.

¹⁸ OBIM requests were incorporated with PRIV starting in FY 2019.

¹⁹ FPS requests included with CISA in FY 2019

²⁰ S&T requests incorporated with PRIV.

²¹ FPS requests included with CISA in FY 2019

²² S&T requests incorporated with PRIV.

Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
USCIS	14,773	20,344
USSS	480	292
AGENCY OVERALL	31,454	36,350

D. (3) Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

Component	Number of Appeals Received		Number of Appeals Processed	
	Received During Fiscal Year from Last Year's Annual Report	Received During Fiscal Year from Current Annual Report	Processed During Fiscal Year from Last Year's Annual Report	Processed During Fiscal Year from Current Annual Report
CBP	2,924	3,601	2,880	3,666
FEMA	16	24	17	17
FLETC	3	1	2	1
ICE	617	517	659	498
OIG	12	12	8	9
PRIV	422	183	508	205
TSA	14	25	18	20
USCG	25	29	22	7
USCIS	2,160	2,156	2,075	2,393
USSS	47	57	52	35
AGENCY OVERALL	6,240	6,605	6,241	6,851

(4) Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report

Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
CBP	17	10
FEMA	6	13
FLETC	2	2
ICE	4	206
OIG	5	9
PRIV	51	42
TSA	1	4
USCG	16	38
USCIS	200	1
USSS	2	29
AGENCY OVERALL	304	354

APPENDIX A: Composition of the Department of Homeland Security

The U.S. Department of Homeland Security has six overarching homeland security missions — to counter terrorism and enhance security, secure and manage our borders while facilitating trade and travel, enforce and administer our immigration laws, safeguard and secure cyberspace, build resilience to disasters, and provide essential support for national and economic security—in coordination with federal, state, local, international, tribal, and private sector partners.

Offices:

The Office for Civil Rights and Civil Liberties (CRCL) provides legal and policy advice to Department leadership on civil rights and civil liberties issues, investigates and resolves complaints, and provides leadership to Equal Employment Opportunity Programs.

The Office of the Citizenship and Immigration Services Ombudsman (Ombudsman) is dedicated to improving the quality of citizenship and immigration services delivered to the public by providing individual case assistance, as well as making recommendations to improve the administration of immigration benefits by U.S. Citizenship and Immigration Services (USCIS).

The Office of the Executive Secretary (ESEC) provides all manner of direct support to the Secretary and Deputy Secretary, as well as related support to leadership and management across the Department.

The Office of the General Counsel (OGC) integrates over 2,500 attorneys from throughout the Department into an effective, client-oriented, full-service legal team. The Office of the General Counsel comprises a headquarters office with subsidiary divisions and the legal offices for nine Department components.

The Joint Requirements Council (JRC) validates capability gaps, associated with operational requirements and proposed solution approaches to mitigate those gaps through the Joint Requirements Integration and Management System (JRIMS), leveraging opportunities for commonality to enhance operational effectiveness directly and better inform the DHS' main investment pillars.

The Office of Legislative Affairs (OLA) serves as primary liaison to members of Congress and their staffs, the White House and Executive Branch, and to other federal agencies and governmental entities that have roles in assuring national security.

The Military Advisor provides counsel and support to the Secretary and Deputy Secretary in affairs relating to policy, procedures, preparedness activities, and operations between DHS and the Department of Defense (DoD).

The Office of Partnership and Engagement (OPE) coordinates the Department of Homeland Security's outreach efforts with key stakeholders nationwide, ensuring a unified approach to external engagement.

The Privacy Office (PRIV) works to preserve and enhance privacy protections for all individuals and to promote transparency of Department operations.

The Office of Public Affairs (OPA) coordinates the public affairs activities of all of the Department's components and offices and serves as the federal government's lead public information office during a national emergency or disaster.

The Office of Strategy, Policy, and Plans serves as a central resource to the Secretary and other Department leaders for strategic planning and analysis, and facilitation of decision-making on the full breadth of issues that may arise across the dynamic homeland security enterprise.

Operational and Support Components:

U. S. Customs and Border Protection (CBP) is one of the Department of Homeland Security's largest and most complex components, with a priority mission of keeping terrorists and their weapons out of the U.S. It also has a responsibility for securing and facilitating trade and travel while enforcing hundreds of U.S. laws and regulations, including those encompassing customs, immigration, border security, and agricultural protection.

The Cybersecurity and Infrastructure Security Agency (CISA) leads the national effort to defend critical infrastructure against the threats of today, while working with partners across all levels of government and in the private sector to secure against the evolving risks of tomorrow.

The DHS Countering Weapons of Mass Destruction Office (CWMD) works to prevent attacks against the United States using a weapon of mass destruction (WMD) through timely, responsive support to operational partners.

The Federal Emergency Management Agency (FEMA) supports state, local, tribal and territorial partners to ensure we work together to help people before, during, and after disasters.

The Federal Law Enforcement Training Center (FLETC) provides career-long training to law enforcement professionals to help them fulfill their responsibilities safely and proficiently.

Federal Protective Service (FPS) is an operational component within the DHS Management Directorate that provides law enforcement and security services to approximately 9,000 federal facilities nationwide.

The Office of Intelligence and Analysis (I&A) equips the Homeland Security Enterprise with the timely intelligence and information it needs to keep the homeland safe, secure, and resilient.

U. S. Immigration and Customs Enforcement (ICE) promotes homeland security and public safety through the criminal and civil enforcement of federal laws governing border control, customs, trade, and immigration.

The Management Directorate is responsible for budget, appropriations, expenditure of funds, accounting and finance; procurement; human resources and personnel; information technology systems; facilities, property, equipment, and other material resources; providing biometric identification services; and identification and tracking of performance measurements relating to the responsibilities of the Department.

The Office of Operations Coordination (OPS) provides information daily to the Secretary of Homeland Security, senior leaders, and the homeland security enterprise to enable decision-making; oversees the National Operations Center; and leads the Department's Continuity of Operations and Government Programs to enable continuation of primary mission essential functions in the event of a degraded or crisis operating environment.

The Transportation Security Administration (TSA) protects the nation's transportation systems to ensure freedom of movement for people and commerce.

The United States Coast Guard (USCG) is one of the five armed forces of the United States and the only military organization within the Department of Homeland Security. The Coast Guard protects the maritime economy and the environment, defends our maritime borders, and saves those in peril.

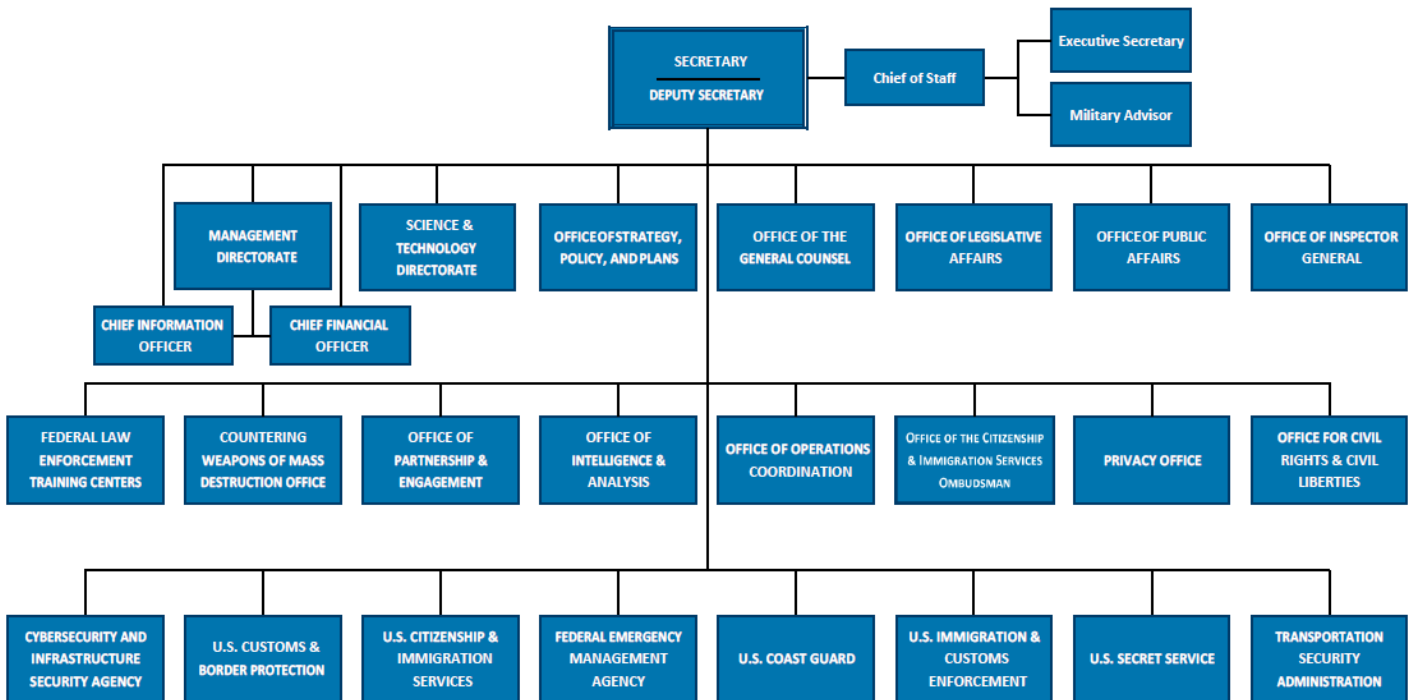
U.S. Citizenship and Immigration Services (USCIS) administers the nation's lawful immigration system, safeguarding its integrity and promise by efficiently and fairly adjudicating requests for immigration benefits while protecting Americans, securing the homeland, and honoring our values.

The Science and Technology Directorate (S&T) is the primary research and development arm of the Department. It provides federal, state and local officials with the technology and capabilities to protect the homeland.

The United States Secret Service (USSS) safeguards the nation's financial infrastructure and payment systems to preserve the integrity of the economy, and protects national leaders, visiting heads of state and government, designated sites, and National Special Security Events.

APPENDIX B: Organization of the Department of Homeland Security Chart

U.S. Department of Homeland Security



APPENDIX C: Names, Addresses, and Contact Information for DHS FOIA Officers

Department of Homeland Security Chief FOIA Officer

James V.M.L. Holzer
Acting Chief FOIA Officer
Privacy Office, Mail Stop 0655
U.S. Department of Homeland Security
2707 Martin Luther King Jr. AVE SE
Washington, DC 20528-0655

Department of Homeland Security Component FOIA Officers

The Privacy Office

Catrina Pavlik-Keenan
Acting Deputy Chief FOIA Officer
Ph: 202-343-1743 or 866-431-0486
Fax: 202-343-4011
E-mail: foia@hq.dhs.gov
Privacy Office, Mail Stop 0655
Department of Homeland Security
2707 Martin Luther King Jr. AVE SE
Washington, DC 20528-0655

U.S. Citizenship and Immigration Services

Traci White
Ph: 816-350-5521; Fax: 816-350-1793
National Records Center, FOIA/PA Office
P. O. Box 648010
Lee's Summit, MO 64064-8010

Office for Civil Rights and Civil Liberties

Rosemary Law
Ph: 202-343-1743 or 866-431-0486
Fax: 202-343-4011
E-mail: foia@hq.dhs.gov
Privacy Office, Mail Stop 0655
Department of Homeland Security
2707 Martin Luther King Jr. AVE SE
Washington, DC 20528-0655

U.S. Coast Guard

Kathleen Claffie
Ph: 202-475-3525 Fax: 202-475-3927
Commandant (CG-6P)
2703 Martin Luther King Jr Ave, SE
Stop 7710
Washington, DC 20593-0001

U.S. Customs and Border Protection

Sabrina Burroughs
Ph: 202-325-0150; Fax: 202-325-1476
FOIA Division
90 K Street, NE
Washington, DC 20229-1181

Cybersecurity and Infrastructure Security Agency

Charles Schnepfe
Ph: 202-343-1743 or 866-431-0486
Fax: 202-343-4011
E-mail: foia@hq.dhs.gov
Privacy Office, Mail Stop 0655
Department of Homeland Security
2707 Martin Luther King Jr. AVE SE
Washington, DC 20528-0655

Federal Emergency Management Agency
Gregory Bridges
Ph: 202-646-3323
Information Management Division
500 C Street, SW
Mail Stop 3172
Washington, DC 20472-3172

Federal Law Enforcement Training Centers
Alicia Mikulta
Ph: 912-261-4512; Fax: 912-267-3113
Building No.681, Suite 187B
1131 Chapel Crossing Road
Glynco, GA 31524

U.S. Immigration and Customs Enforcement
Fernando Pinero
Ph: 866-633-1182; Fax: 202-732-4265
500 12th Street, SW, Mail Stop 5009
Washington, DC 20536-5009

Office of Inspector General
Vacant
Ph: 202-254-4001; Fax: 202-254-4398
U.S. Department of Homeland Security
245 Murray Lane, SW, Mail Stop 0305
Washington, DC 20528-2600

Office of Intelligence and Analysis
Teresa Taylor
Ph: 202-447-3783; Fax: 202-612-1936
U.S. Department of Homeland Security
Washington, DC 20528-0001

Science & Technology Directorate
Erica Talley
Ph: 202-343-1743 or 866-431-0486
Fax: 202-343-4011
E-mail: foia@hq.dhs.gov
Privacy Office, Mail Stop 0655
Department of Homeland Security
2707 Martin Luther King Jr. AVE SE
Washington, DC 20528-0655

United States Secret Service
Kevin Tyrrell
Ph: 202-406-6370; Fax: 202-406-5586
245 Murray Lane, SW, Building T-5
Washington, DC 20223

Transportation Security Administration
Teri Miller
Ph: 1-866-FOIA-TSA; Fax: 571-227-1406
6595 Springfield Center Drive
Springfield, VA 20598-6020